

Functional diversification of the dehydrin gene family in apple and its contribution to cold acclimation during dormancy

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Dehydrins (DHN) are proteins involved in plant adaptive responses to abiotic stresses, mainly dehydration. Several studies in perennial crops have linked bud dormancy progression, a process characterized by the inability to initiate growth from meristems under favorable conditions, with *DHN* gene expression. However, an in-depth characterization of DHNs during bud dormancy progression is still missing. An extensive in silico characterization of the apple *DHN* gene family was performed. Additionally, we used five different experiments that generated samples with different dormancy status, including genotypes with contrasting dormancy traits, to analyze how *DHN* genes are being regulated during bud dormancy progression in apple by real-time quantitative polymerase chain reaction (RT-qPCR). Duplication events took place in the diversification of apple *DHN* family. Additionally, *MdDHN* genes presented tissue- and bud dormant-specific expression patterns. Our results indicate that *MdDHN* genes are highly divergent in function, with overlapping levels, and that their expressions are fine-tuned by the environment during the dormancy process in apple.

Introduction

Dormancy in plants has been defined as the growth inability of meristems under favorable conditions (Rohde and Bhalerao 2007). Some perennial trees from temperate climates, such as apple (*Malus × domestica* Borkh.),

display all three stages of bud dormancy progression: paradormancy, endodormancy and ecodormancy (Faust et al. 1997). In paradormancy, bud growth is inhibited due to signals produced in distal parts of the plant. Endodormancy, whose signal constraint to growth is within each bud, is triggered by low temperatures (LT)

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Abbreviations – ARC5, accumulation and replication of chloroplast 5; CBF, C-repeat binding factor/DREB1; CH, chilling hours; CR, chilling requirement; DHN, dehydrin; LEA, late embryogenesis abundant; LT, low temperature; MBP, maximum budbreak percentage; MDH, malate dehydrogenase; RACE, rapid amplification of cDNA ends; RT-qPCR, real-time quantitative polymerase chain reaction; TMP1, type 1 membrane protein-like; WD40, transcription factor WD40-like repeat domain; WGD, whole-genome duplication.

and short days during autumn and winter (Horvath 2009). In order to overcome endodormancy, plants require a prolonged LT exposure to fulfill genotype-specific chilling requirements (CR) in order to promote the transition to the ecodormant state (Rohde and Bhalerao 2007). In ecodormancy, growth is temporarily inhibited by unfavorable environmental conditions, which is restored when plants are able to resume growth (Horvath 2009).

Dehydrins (DHNs) are a class of polypeptides that belong to group II of late embryogenesis abundant (LEA) proteins and are characterized by the presence of three characteristic motifs (K-, S- and Y-segments). These motifs are involved in responses to changes in water availability, mainly caused by abiotic stresses. Although many possible functions were reported for DHNs, their precise mechanisms of action remain unknown (reviewed in Rorat 2006, Hanin et al. 2011, Graether and Boddington 2014).

It has been suggested that the reduction of free water content in apple dormant buds coincides with DHN protein accumulation during winter (Faust et al. 1997). Indeed, several reports identified seasonal accumulation patterns of DHNs during winter in perennial plants (Wisniewski et al. 1996, Welling et al. 2004, Yamane et al. 2006, Yakovlev et al. 2008, Garcia-Bañuelos et al. 2009). In an attempt to compare low and high CR for apple cultivars, our group has recently reported a seasonal transcript accumulation pattern for *MdDHN2*, *MdDHN4* and *MdDHN6* in dormant buds (Falavigna et al. 2014). These cultivars displayed elevated steady-state mRNA levels during winter in comparison to samples harvested in spring and summer. However, the apple cultivar with low CR showed an early decline of transcript levels in the end of winter compared to high CR cultivars, prior to growth resumption. Additionally, nine apple DHNs (*MdDHN1–9*) were characterized according to their transcription levels in different organs and under drought, LT and abscisic acid treatments, but their relationship with the bud dormancy process was not assessed (Liang et al. 2012).

In the present work, we investigate the expression of *MdDHNs* within the context of bud dormancy progression and in selected growth developmental stages. The expression of all *MdDHN* genes was analyzed by real-time polymerase chain reaction (RT-qPCR) using samples representing dormancy, and from flowering to fruit ripening stages, including seeds. These samples provided an important resource to analyze how gene expression is being regulated during dormancy in apple. We identified five *MdDHNs* regulated during the dormancy process, likely through the CBF (C-repeat binding

factor/DREB1) pathway, which may contribute to the bud tolerance to cold during dormancy.

Materials and methods

Sampling strategy and controlled LT treatments

Plant material was obtained from three apple orchards located in the cities of Vacaria, RS (−28.513777, −50.881465 and 972 m altitude), Caçador, SC (−26.836971, −50.975246 and 935 m altitude) and Papanduva, SC (−26.434870, −50.106103 and 788 m altitude), in Southern Brazil. All apple trees were in adult stage and underwent standard orchard management practices.

The experimental orchard in Vacaria consisted of three blocks with 10 ‘Gala Baigent’ apple trees (3-year-old) each. The Gala Baigent trees were grafted on Marubakaido rootstocks with M.9 as interstock. Six apple developmental stages were partitioned in different tissues and organs resulting in 13 samples. The sample stages were defined according to the Fleckinger scale (EPPO 1984): closed terminal buds (A stage); buds at initial bursting (C stage); flower buds and young leaves (E2 stage); whole set-fruits approximately 10 mm in diameter and leaves (I stage); mature leaves and unripe fruits approximately 40 mm in diameter which were divided into pulp, seed and peel (J stage). Additionally, we sampled mature fruits approximately 70 mm in diameter partitioning them into pulp, seed and peel (named M). Samples were immediately frozen in liquid nitrogen in the field and stored at −80°C. Sampling dates and images of the developmental stages selected are presented in Table S1, Supporting Information.

The orchard in Caçador consisted of 7-year-old ‘Fuji Standard’ plants grafted on M.7 rootstocks. Three biological replicates consisted of pooled samples from four trees each. Forty closed terminal buds from each plant were harvested at eight time points from January 2009 to February 2010. Samples were immediately frozen in liquid nitrogen in the field and stored at −80°C until use. Sampling dates and chilling hours (CH, number of hours below 7.2°C) accumulated by these samples are presented in Table S2.

Samples from Papanduva were taken from three blocks per cultivar (Castel Gala and Royal Gala), each block containing 20 plants. The selection of these genotypes was based on the contrasting CR of ‘Royal Gala’ (600 CH) in relation to its natural bud sport ‘Castel Gala’ (300 CH). The new cultivar has a precocious cycle usually starting growth a month earlier, being otherwise identical to the original cultivar (Denardi and Seccon 2005, Anzanello et al. 2014a). ‘Castel Gala’ plants were grafted on M.9

rootstocks in 2006. 'Royal Gala' plants were grafted on Marubakaido rootstocks with M.9 as interstock in 2003. Sampled twigs (20 cm long) were disinfected with ethanol 70% for 45–60 s and sodium hypochlorite 2.5% for 20 m, rinsed, air-dried and wrapped in black plastic bags. Treatments were performed by placing the bags inside growth chambers in the dark with the terminal bud upwards. Maximum budbreak percentages (MBP) of 40 twigs per treatment were determined as described by Anzanello et al. (2014b). Briefly, twigs were cut at the basis and fixed in floral foam inside a growth chamber under forcing conditions ($25 \pm 1.5^\circ\text{C}$, 12 h photoperiod and 70% relative humidity). MBP was calculated by the total number of terminal buds showing green tips divided by the total number of viable terminal buds after 56 days in the growth chamber. These procedures were repeated on all twigs subjected to controlled temperature conditions (Fig. 6 and Figs S4 and S5). On April 5, 2011, a total of 420 twigs were sampled from each cultivar without CH exposure before that date. Twigs were exposed to a daily cycle of 12 h at 3°C and 12 h at 15°C until they reached 0, 24, 48, 96, 336 and 600 CH for 'Royal Gala' or 0, 24, 48, 96, 240 and 408 CH for 'Castel Gala'. At each point, MBP was determined and 30 additional closed terminal buds were frozen in liquid nitrogen and stored at -80°C . Additionally, two experiments under controlled LT conditions, performed with samples of the same experimental area, are described in Figs S4 and S5.

In silico analysis of *MdDHN* genes and deduced amino acid sequences

To identify predicted gene models coding for DHNs in the apple genome version 1.0 (<http://rosaceae.org/>; Velasco et al. 2010), we performed BLASTP searches using the conserved K-segment as query (Altschul et al. 1990). All hits obtained had their sequences annotated by comparison with the NCBI non-redundant protein database using the BLAST2GO software with an *E*-value cutoff of $1e^{-6}$ (Conesa et al. 2005).

Deduced amino acid sequences of 12 *MdDHN*s were used for searching conserved domains using MEME Suite v.4.9.0 (Bailey and Elkan 1994). Default parameters were used, except motif distribution among sequences was set to any number of repetitions, maximum number of motifs was set to 5 and maximum motif width was defined between 6 and 16 amino acids.

Full-length protein sequences of DHNs from *Arabidopsis thaliana*, *Hordeum vulgare*, *Glycine max*, *Malus × domestica*, *Oryza sativa*, *Populus trichocarpa*, *Prunus mume* and *Vitis vinifera* were aligned using ClustalW (Higgins et al. 1994). References and accession

numbers of all sequences used are presented in Table S3. The phylogenetic tree was inferred using MRBAYES version 3.1.2 (Huelsenbeck and Ronquist 2001) employing the mixed amino acid substitution model in default settings. Four million generations were run, sampled every 100 generations and the first 25% trees were discarded as burn-in. The remaining ones were summarized in a consensus tree, which was visualized and edited using FIGTREE v.1.4 (<http://tree.bio.ed.ac.uk/software/figtree/>).

Collinear block analysis was performed by comparing the whole-genome protein sequences from apple against themselves and against those from *P. mume* using BLASTP (*E*-value $< 1e^{-10}$, top five matches). The results and gene positions were used as inputs to determine the collinear blocks using MCSCANX (Wang et al. 2012).

Nucleic acid extraction and cDNA synthesis

Approximately 200 mg of frozen plant material was used for nucleic acid isolation. DNA was purified from mature leaves of 'Gala Baigent' trees according to Lodhi et al. (1994) and Lefort and Douglas (1999) modified protocols adapted to 2 ml tubes. Total RNA of each sampled material was isolated as described in Falavigna et al. (2014) and DNase-treated using TURBO DNA-free Kit (Ambion, Austin, TX). Complementary DNA was synthesized using the GeneAmp RNA PCR Core Kit (Applied Biosystems, Foster City, CA) according to manufacturer's instructions. DNA-free RNA samples from mature seeds were also employed in 5' and 3' rapid amplification of cDNA ends (RACE) for *MdDHN10* and *MdDHN11* cDNA synthesis using the SMARTer RACE cDNA Amplification Kit (Clontech, Mountain View, CA) according to the manufacturer's protocol. RACE products were sequenced at ACTGene Ltd. (Porto Alegre, Brazil) using an automatic ABI-PRISM 3100 Genetic Analyzer and associated chemistry (Applied Biosystems).

Real-time quantitative polymerase chain reaction

Gene-specific primers were designed using the PRIMER3 v0.4.0 software (Table S4; Rozen and Skaletsky 2000). RT-qPCR was performed as described in Falavigna et al. (2014). Biological samples ($n = 3$) were analyzed in four technical replicates. PCR efficiency was calculated using LINREGPCR v.2012.0 (Ruijter et al. 2009). Mean relative gene expression was calculated by the Pfaffl method (2001). Reference genes used for organ and tissue samples were *MDH* (malate dehydrogenase), *Tmp1* (type 1 membrane protein-like) and *WD40* (transcription factor WD40-like repeat domain), whereas *ARC5* (accumulation and replication of chloroplast 5), *MDH* and *WD40*

were employed as reference genes for closed terminal buds as described by Perini et al. (2014).

Results

Identification and classification of *MdDHN* gene family

In order to identify genes coding for MdDHNs, a BLASTP search was performed in the apple genome (<http://rosaceae.org/>) using the consensus sequence of the K-segment, a motif ubiquitously present in the DHN family of proteins in plants (Rorat 2006). We identified 16 predicted gene models and their deduced peptide sequences were annotated using the BLAST2GO software. The gene sequence represented by the accession number MDP0000156140 was excluded based on low similarity to LEA proteins. Multiple alignments of the remaining 15 sequences revealed that MDP0000126135 and MDP0000770493 were identical and four gene models seemed to be artifacts of the genome assembly. MDP0000595270 and MDP0000595271 were predicted in the genome contig MDC011430.191, whereas MDP0000868044 and MDP0000868045 were

derived from contig MDC016760.214. The gene model MDP0000595271 exhibited a predicted start codon within the intron of MDP0000868045 and the remaining sequences were identical. Moreover, MDP0000595270 and MDP0000868044 were identical in their 3' portion. These two results suggested that one of these two contigs was misplaced in the genome assembly. To test this hypothesis, we performed a PCR spanning a common region of both contigs. The analysis showed that only the amplicon from the contig MDC016760.214 was amplified. Sequencing of the amplicon confirmed the identity of the fragment, supporting the idea that only contig MDC016760.214 is properly positioned within apple chromosome 2. We, therefore, excluded MDP0000126135, MDP0000156140, MDP0000595270 and MDP0000595271 from further analyses.

Our genome-wide survey identified nine genes (*MdDHN1–9*) previously identified by Liang et al. (2012), and three additional family members, named *MdDHN10–12* (Fig. 1). *MdDHN10* and *MdDHN11* were amplified by RACE and sequenced (GenBank KF578380 and KF578381). The obtained sequence for

Gene Name	Genome Accession Code	GenBank Accession Code	Chromosomal Localization	Type	Motif Distribution
<i>MdDHN1</i>	MDP0000868045/ MDP0000595271	JQ649456	chr2:12477545..12478867/ chr2:8259119..8259842	Y ₂ SK ₃	
<i>MdDHN2</i>	MDP0000698024	JQ649457	chr2:12484455..12485624	Y ₂ SK ₃	
<i>MdDHN3</i>	MDP0000689622	JQ649458	chr2:12500269..12500520	K ₄	
<i>MdDHN4</i>	MDP0000360414	JQ649459	chr2:12500767..12501879	Y ₂ SK ₃	
<i>MdDHN5</i>	MDP0000862169	JQ649460	chr2:12503902..12504672	YK ₄	
<i>MdDHN6</i>	MDP0000265874	JQ649461	chr2:12701912..12702484	YK ₃	
<i>MdDHN7</i>	MDP0000196703	JQ649462	chr2:12736190..12736886	K ₃	
<i>MdDHN8</i>	MDP0000529003	JQ649463	chr12:20761988..20764699	SK ₃	
<i>MdDHN9</i>	MDP0000770493/ MDP0000126135	JQ649464	chr15:6960886..6962054/ chr15:6977891..6979059	SK ₃	
<i>MdDHN10</i>	MDP0000868044/ MDP0000595270	KF578380	chr2:12470746..12472298/ chr2:8255278..8257253	Y ₃ SK ₃	
<i>MdDHN11</i>	MDP0000629961	KF578381	chr10:10172519..10178352	Y ₃ SK ₂	
<i>MdDHN12</i>	MDP0000178973	-	chr9:21206400..21207388	K ₆	

Fig. 1. Identification and classification of apple *DHN* genes. Names were attributed following Liang et al. (2012). Genome and GenBank accession codes are provided by the '*Malus domestica* Genome' (<http://rosaceae.org/>) and NCBI (<http://www.ncbi.nlm.nih.gov/>) databases, respectively. Chromosomal localization and DHN classification are shown. Schematic view of the conserved motifs between deduced protein sequences of MdDHNs performed by MEME (Bailey and Elkan 1994) is shown. Each colored box represents a conserved motif: cyan blue represents K-segments; red represents S-segments; pink represents Y-segments; dark blue and yellow represent putative novel motifs. The height of the motif box is proportional to $-\log(P \text{ value})$, with the maximum height composed by a P value of $1e^{-10}$. Gray lines represent non-conserved sequences. See Fig. S2 for individual motif details. GenBank accession codes for *MdDHN1–9* were provided by Liang et al. (2012).

MdDHN10 was identical to the model predicted in the apple genome. However, alignments for *MdDHN11* revealed that, out of four predicted exons in the genome, only the second and the third are truly transcribed (Fig. S1). No transcript for *MdDHN12* was detected in our RACE attempts (see section 'MdDHN transcript levels in apple tissues and organs').

Predicted amino acid sequences for all 12 MdDHNs were screened for conserved domains using the MEME software. The analysis identified five significantly conserved motifs (Fig. 1), including those classified as K-segment (Fig. S2A), S-segment (Fig. S2C) and Y-segment (Fig. S2D). Two putative DHN conserved motifs (Fig. S2B, E) were additionally found and considered as novel because no matches with known domains in Pfam (Punta et al. 2012), PROSITE (Sigrist et al. 2013) and SMART (Letunic et al. 2012) databases were found. As a result, we assigned the 12 MdDHNs into four of five DHN subclasses (Hanin et al. 2011). The subgroups Y_nSK_n and K_n were the most represented with five and three members, respectively. Subgroups SK_n and YK_n displayed two members each, whereas no MdDHN was assigned to the K_nS subclass (Fig. 1).

Evolutionary relationships of MdDHNs

Deduced peptide sequences from all *MdDHNs* and those from seven other plant species (Table S3) whose DHN family members have been previously described were used in a phylogenetic analysis employing MRBAYES (Fig. 2). The resulting tree topology indicated that the majority of the proteins could be grouped into six major clusters of orthologous and paralogous proteins (A–F), with apple members distributed into four of them (groups A, C, D and F). Groups A and B were mainly composed of Y_nSK_n subclass, group E with K_n and K_nS subclasses and group F with SK_n subclass. Interestingly, groups C and D comprised all *MdDHNs* from chromosome 2 together with their counterparts in *P. mume* and *G. max*. Additionally, we identified putative orthologs between apple and *P. mume*, such as *MdDHN11*/*PmLEA20* and *MdDHN12*/*PmLEA19*. The remaining *MdDHNs* grouped as paralogs. Only *At3g50970*, *HvDHN5*, *PtDHN10* and *VvDHN1* did not group with other members in the tree.

In order to infer the evolutionary history of the *DHN* genes in the Rosaceae family, a synteny study was performed between the genomes of apple and *P. mume* using the MCSCANX software. This algorithm is able

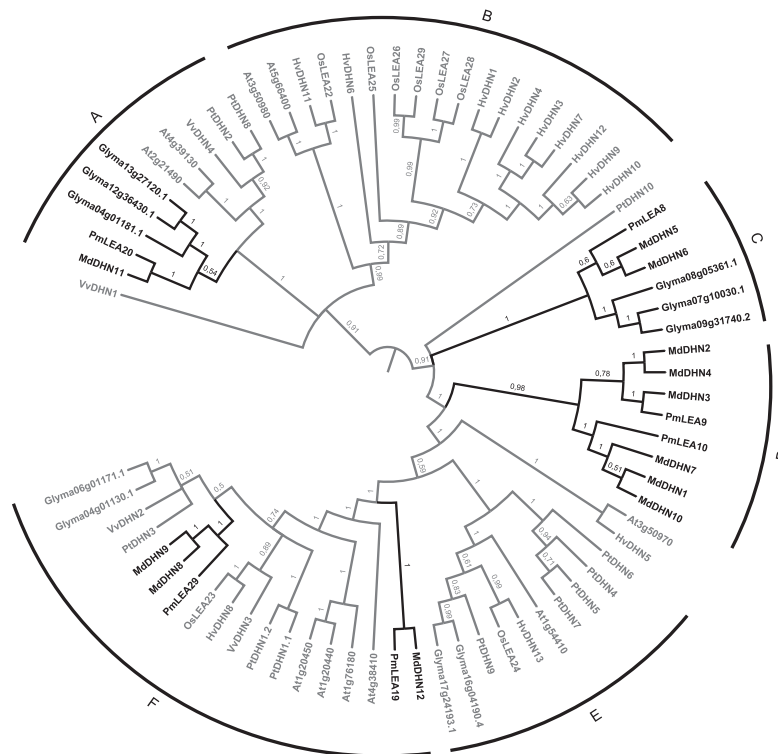


Fig. 2. Phylogenetic relationships for full-length amino acid sequences of DHNs from *Arabidopsis thaliana*, *Hordeum vulgare*, *Glycine max*, *Malus x domestica*, *Oryza sativa*, *Populus trichocarpa*, *Prunus mume* and *Vitis vinifera*. The six groups (A–F) of orthologous and paralogous proteins identified are shown. The tree was inferred using MRBAYES v.3.1.2 (Huelsenbeck and Ronquist 2001). Nodal support is given by a posteriori probability values shown next to the corresponding nodes (when >0.50). All accession codes used in the phylogenetic analysis are depicted in Table S3.

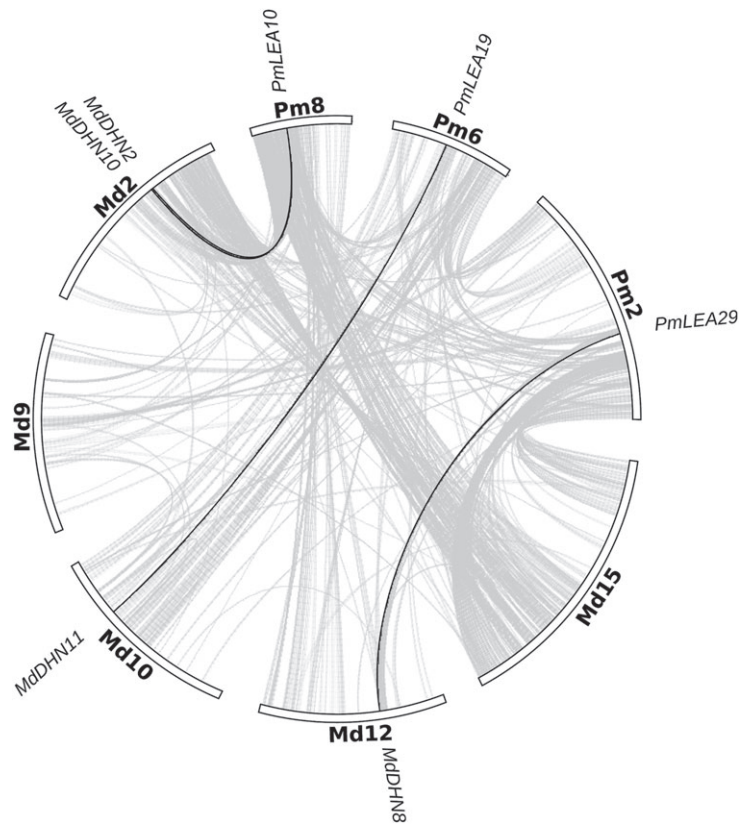


Fig. 3. Synteny analysis of *DHN* genes from apple and *Prunus mume*. Chromosomes containing apple (Md2, 9, 10, 12 and 15) and *Prunus mume* (Pm2, 6 and 8) *DHN* genes are depicted. Lines connecting two chromosomal regions indicate collinearity relationships with (black) or without (gray) the involvement of *DHN* genes.

to scan genomes recognizing putative homologous chromosomal regions using genes as anchors (Wang et al. 2012). This analysis identified many collinear regions between the genomes (gray lines in Fig. 3), with four collinear blocks containing *DHN*s (black lines in Fig. 3). Of these, two were between chromosome 8 from *P. mume* and chromosome 2 from apple (*PmLEA10/MdDHN2* and *PmLEA10/MdDHN10*), one was between chromosome 6 from *P. mume* and chromosome 10 from apple (*PmLEA19/MdDHN11*) and one was found between chromosome 2 from *P. mume* and chromosome 12 from apple (*PmLEA29/MdDHN8*). In summary, these results indicate the presence of conserved genomic regions containing *DHN*s of apple and *P. mume*, suggesting that genome duplication events likely played important roles in the expansion of this gene family in Rosaceae species.

***MdDHN* transcript levels in different tissues and organs**

The transcript accumulation of *MdDHN*s was investigated by RT-qPCR in 13 different organs and

tissues. Tissue/organ sampling dates and developmental stages are presented in Table S1. Four different patterns of expression were identified (Fig. 4). *MdDHN1* and *MdDHN11* were expressed mainly in seeds of unripe and ripe fruits, with very low relative levels of transcripts in other organs or tissues analyzed. While *MdDHN1* exhibited more than 120-fold higher transcript accumulation in mature seeds compared to closed terminal buds, strikingly, *MdDHN11* expression was about 6000-fold higher in mature seeds relative to closed terminal buds. *MdDHN2*, *MdDHN3* and *MdDHN4* were expressed mainly in closed terminal buds, with some expression in pulp and peel of mature fruits. Additionally, *MdDHN2* showed low level of expression in mature seeds in comparison to closed terminal buds. *MdDHN5* and *MdDHN6* presented similar transcript accumulation in closed terminal buds and mature seeds. *MdDHN7*, *MdDHN8*, *MdDHN9* and *MdDHN10* were detected in nearly all tissues and organs analyzed. While *MdDHN7* presented higher transcript amounts in young leaves and seeds, *MdDHN8* and *MdDHN9* showed higher transcript accumulation in pulp and peel

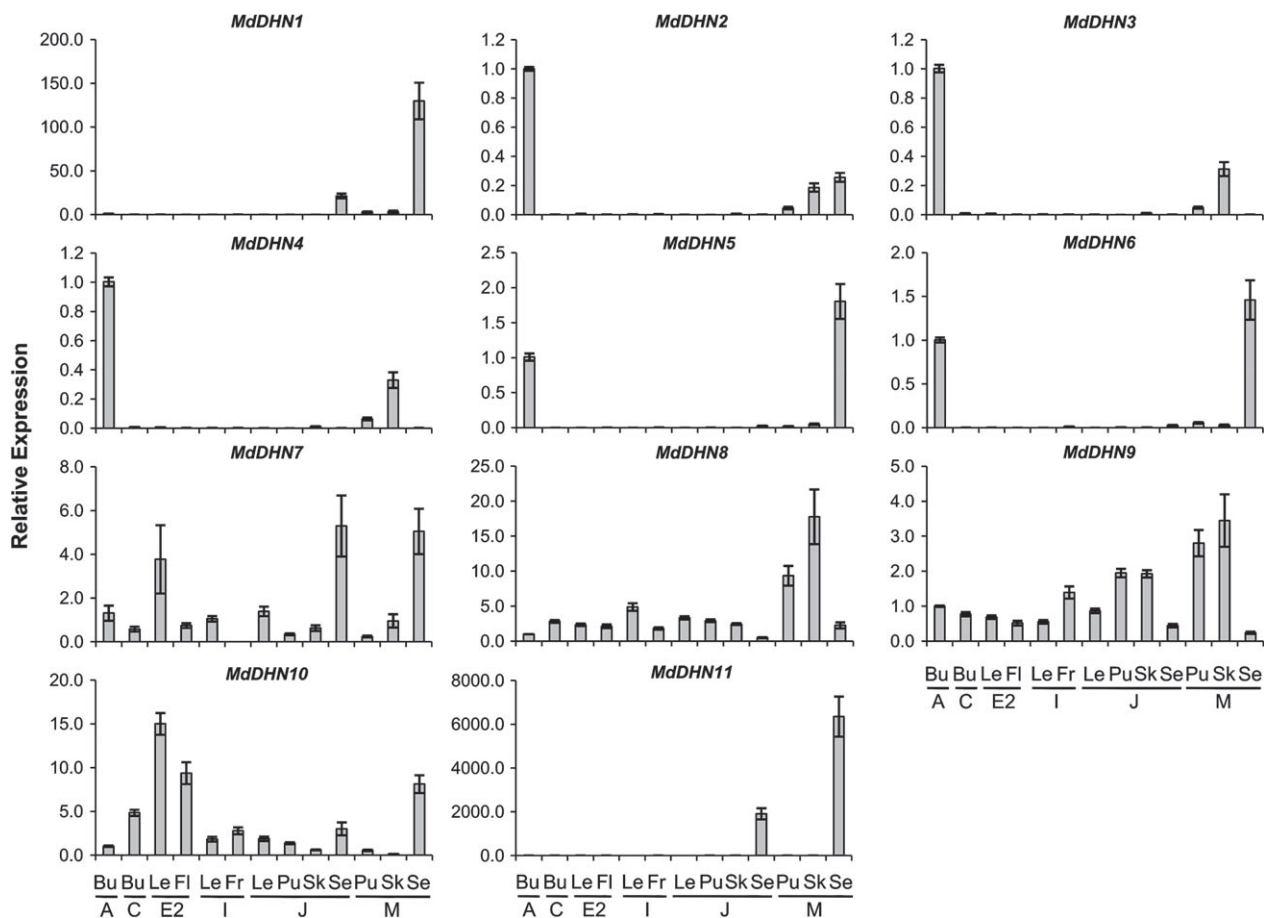


Fig. 4. Mean relative expression levels in 13 different apple tissues and organs. Stages A, C, E2, I and J were sampled according to the Fleckinger phenological scale (EPP0 1984). 'M' stands for mature fruits. Sampling dates and images of the developmental stages considered are presented in Table S1. Bu, bud; Le, leaf; Fl, flower; Fr, fruit; Pu, fruit pulp; Sk, fruit peel and Se, seed. Relative transcript levels in closed terminal buds (Bu/A) were set to 1. Standard error bars are shown.

of mature fruits and *MdDHN10* was detected mainly in young flowers and young leaves. Finally, *MdDHN12* transcripts were not detected in any of the tissues or organs analyzed under our conditions. We nevertheless confirmed the presence of the *MdDHN12* sequence in the apple genome by PCR amplification from genomic DNA (Fig. S3) and by sequencing of the amplicon (data not shown).

Transcript accumulation of *MdDHN* genes through the annual growth cycle

We analyzed the transcript accumulation for *MdDHN1–11* by RT-qPCR in closed terminal buds sampled from the high CR cultivar Fuji Standard during a complete growing cycle from January 2009 to February 2010 (Fig. 5). We carefully monitored chilling exposure (Table S2), growth cessation and the onset of growth resumption (50% of buds in green tip stage) as markers for

dormancy establishment and completion. Because budbreak occurred on September 15, 2009, which corresponds to the end of winter in Southern Brazil (September 21 equinox), we therefore considered samples from May 27 (growth cessation) to September 9, 2009 (near growth resumption) as representing the complete bud dormancy progression.

The *MdDHN* gene family displayed a clear seasonal pattern of transcript accumulation (Fig. 5). The expression timing of *MdDHN1*, *MdDHN9* and *MdDHN10* coincides most closely with the growth resumption, with a gradual transcript decline during spring and summer. *MdDHN2*, *MdDHN3*, *MdDHN4*, *MdDHN5*, *MdDHN6*, *MdDHN8* and *MdDHN11* showed a noticeable peak of transcript accumulation during winter and their expressions drastically decreased near budbreak, maintaining low levels through spring and summer. *MdDHN2* and *MdDHN4* showed the highest levels of transcriptional induction, and *MdDHN3*, *MdDHN4*,

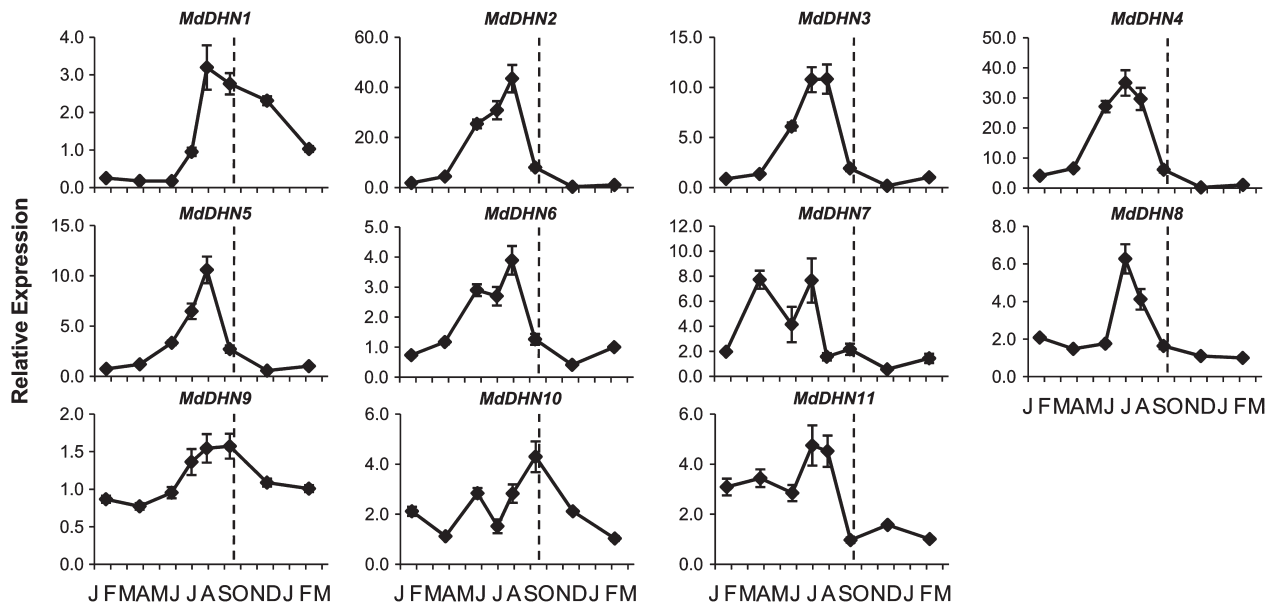


Fig. 5. Kinetics of the relative transcript levels for *MdDHN1–11* during 2009/2010. RT-qPCRs were performed with RNA samples isolated from closed terminal buds harvested from ‘Fuji Standard’ trees grown in Caçador (SC). Dashed lines correspond to budbreak date (50% of buds in green tip stage), which occurred on September 15, 2009 (end of winter). Months are represented by their initial letters on the x-axis. Relative transcript levels in February 2010 were set to 1. Standard error bars are shown. Graphics for *MdDHN2*, *MdDHN4* and *MdDHN6* genes were reproduced from Falavigna et al. (2014), with permission of the publisher.

MdDHN8 and *MdDHN11* presented their expression peaks occurring concomitantly with winter solstice (June 21). Finally, *MdDHN7* was the only member that presented an oscillatory pattern of expression in the beginning of autumn and winter combined with the same fast reduction to low levels of expression prior to growth resumption.

Gene expression of *MdDHNs* in samples with contrasting dormancy status

We performed three experiments to produce samples with contrasting MBP. Two experiments used contrasting CR cultivars with similar genetic backgrounds, ‘Castel Gala’ and ‘Royal Gala’. The first experiment was performed in 2009, and the MBP analysis revealed that ‘Castel Gala’ (86.4%) showed a much higher percentage of budburst than ‘Royal Gala’ (7.7%), confirming the expected behavior of ‘Castel Gala’ (Fig. S4A). After 504 h of exposure to LT6 (6°C), both cultivars displayed similar MBP, which persisted until the end of the treatments. In the second experiment, both cultivars had the endodormancy process induced and released by treating sampled twigs with a daily cycle of 12 h at 3°C and 12 h at 15°C (Fig. 6A, B). After 96 CH, ‘Royal Gala’ decreased the MBP from 60.4 to 14.1%. The MBP almost doubled after 336 CH and, at the end of the treatment, 46.6% of budbreak

was obtained. ‘Castel Gala’ twigs showed a decrease in MBP from 67.2 to 33.5% after 48 CH. After 240 CH, the growth competence almost returned to field samples levels (61%). At the end of the treatment, 83.5% of budbreak was obtained.

Despite the evident difference in MBP (Fig. 6A, B and Fig. S4A), similar transcriptional responses were identified between cultivars. *MdDHN2–6* showed a continuous increase in gene expression during the treatments, with *MdDHN2*, *MdDHN3* and *MdDHN5* presenting the highest transcript amounts (Fig. 6C, D). Despite the relative baseline expression, *MdDHN7–10* presented a peak of transcripts during 24 and 48 CH and then restored similar levels to the ones observed in field samples in the rest of the experiment. Finally, *MdDHN1* and *MdDHN11* displayed a slight increase in transcript levels in the first part of the treatment, which persisted until the end of the analysis. In 2009 samples (Fig. S4B), 168 h of LT6 exposure increased gene expression of all *MdDHNs* in both cultivars, except for *MdDHN7*. After 840 h of LT6, three distinct responses were observed: *MdDHN1* and *MdDHN11* were further induced; *MdDHN2*, *MdDHN5*, *MdDHN6*, *MdDHN8* and *MdDHN9* maintained the same level of transcript accumulation observed at 168 h and *MdDHN3*, *MdDHN4* and *MdDHN10* showed a decline in transcript levels. *MdDHN7* showed similar levels of

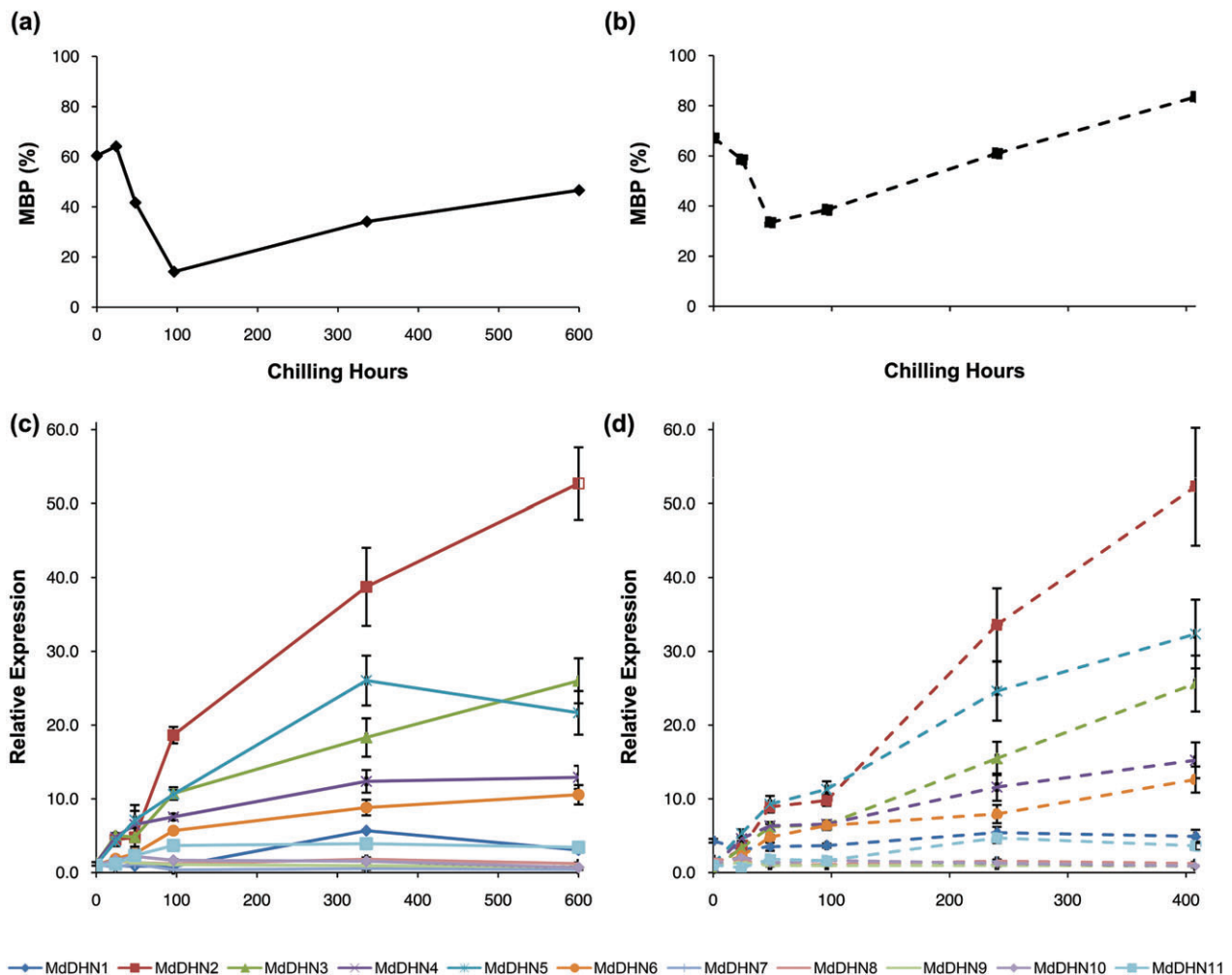


Fig. 6. Endodormancy analysis and relative expression levels of *MdDHN1–11* genes. MBP analysis of ‘Royal Gala’ (A) or ‘Castel Gala’ (B) twigs after treatments (3°C/15°C for 12/12 h) in a growth chamber. Mean relative expression levels were performed by RT-qPCR of RNAs isolated from closed terminal buds of ‘Royal Gala’ (C) or ‘Castel Gala’ (D) twigs. Solid lines, ‘Royal Gala’; dashed lines, ‘Castel Gala’. Relative transcript levels in the field sample (0 CH) were set to 1. Standard error bars are shown in the gene expression graphs.

transcript accumulation during cold treatments for ‘Royal Gala’ and a slight decrease in ‘Castel Gala’ samples.

The last experiment explored the effects of cold (3°C, LT3) and growth permissive (25°C) temperatures on *MdDHN* transcript accumulation profiles during endodormancy of ‘Royal Gala’. After 168 and 438 h under LT3, MBP initially at 6.6%, increased to 16.6 and 61.6%, respectively (Fig. S5A). However, exposure to 25°C diminished MBP to 9.3%. Re-exposure to LT3 recovered MBP to some extent (14.4%). The twigs were maintained for 168 h under cold because this treatment was able to induce *MdDHNs* expression (Fig. S4B). Two distinct patterns of transcript accumulation were identified (Fig. S5B). *MdDHN1*, *MdDHN7*, *MdDHN8*, *MdDHN10* and *MdDHN11* were clearly induced by growth permissive temperature. Re-exposure

to LT3 further induced their expressions, except for *MdDHN7*, which showed a slight repression. Conversely, *MdDHN2*, *MdDHN3*, *MdDHN4*, *MdDHN5*, *MdDHN6* and *MdDHN9* showed a drastic decrease in transcript levels after acclimation at 25°C, with re-exposure to LT3 being able to restore transcript levels. In control samples, no substantial alteration of transcript levels was observed, except for *MdDHN7* and *MdDHN9* that were down-regulated.

Discussion

DHNs are proteins known to play important roles in plant adaptive responses to abiotic stresses, mainly dehydration (Rorat 2006). Several studies linking *DHN* expression to bud dormancy (Welling et al.

2004, Yamane et al. 2006, Yakovlev et al. 2008, Garcia-Bañuelos et al. 2009, Porto et al. 2015), including a previous work from our group (Falavigna et al. 2014), have been reported. Recently, nine gene models coding for DHNs in apple were identified but not using bud dormancy as subjected (Liang et al. 2012). Therefore, the identification of *MdDHN* genes being expressed during bud dormancy in our previous work (Falavigna et al. 2014) and the recent description of the gene family in apple (Liang et al. 2012) prompted us to better characterize how *MdDHN* genes are regulated during bud dormancy progression.

The identification of gene families in a genome search can lead to divergent results according to the employed methodology, and it was observed when comparing our data to the results reported by Liang et al. (2012). In their analyses, an HMM (hidden Markov models)-based (Finn et al. 2011) search was employed to identify putative *DHNs* in the apple genome retrieving 12 candidates for the family. In our screening, the conserved DHN K-segment was used as query in BLASTP searches against the same apple genome. Our analysis rendered 16 matches, comprising the 12 putative *MdDHNs* previously described and four novel candidates (Fig. 1). Following the identification of 12 *MdDHN* members, Liang et al. (2012) screened apple EST (expressed sequence tag) databases to verify whether these genes were expressed. Sequences without any matches were discarded, yielding nine genes (*MdDHN1–9*) that were used in their further analysis. In our manual approach to remove artifacts from the candidate list, we were able to precisely select predicted gene models, revealing three novel apple *DHNs* (*MdDHN10–12*; Fig. 1). Furthermore, our analysis showed that *MdDHN10* and *MdDHN11* are expressed (Figs 4–6).

We performed a motif-based analysis to search conserved motifs previously described for DHN proteins (Rorat 2006), using the MEME software (Bailey and Elkan 1994). Our classification for *MdDHN1–9* matched with the one described by Liang et al. (2012), with the exception of *MdDHN1* and *MdDHN4*. Because a statistical significance was found for two Y-segments in these sequences, both putative proteins were therefore classified as Y_2SK_3 instead of YSK_3 (Fig. 1). Additionally, all *MdDHNs* were assigned into four of five subclasses reported for DHNs. No *MdDHN* belonging to the K_nS subclass was found. DHNs from this specific subclass were proposed to exhibit hydroxyl and peroxy radical-scavenging activity under cellular dehydration stresses (Hara et al. 2003, Hara et al. 2004). This might suggest that other genes or even *MdDHNs* assigned to other subclasses may be responsible for this kind of reactive oxygen species detoxification in apple. In

addition to the known conserved DHN domains, two novel DHN motifs were identified using sequences from *Arabidopsis*, barley and poplar (Liu et al. 2012b). In addition, two other highly conserved motifs were found in DHNs from maritime pine (Perdiguero et al. 2012). Finally, our analysis identified two distinct new motifs exploring apple DHNs (Fig. 1; Fig. S2B, E). These data suggest that uncharacterized domains of DHN proteins may exist and further investigation needs to be conducted to unveil their functional roles.

The number of *DHN* genes in apple (12) suggests that duplication events may have happened. Analyzing a 35 kb region of the apple chromosome 2, six *MdDHNs* are arranged in tandem, suggesting that this kind of gene duplication event also could be held accountable for the amount of *DHN* genes in apple. Additionally, as suggested by Velasco et al. (2010), the genome of the domesticated apple has undergone a recent whole-genome duplication (WGD) event that certainly contributed to the higher number of *DHN* genes in apple in comparison to its Rosaceae counterpart *P. mume*, which did not undergo a recent WGD (Zhang et al. 2012). In grapevine, four *DHN* genes were identified and no evidence for duplication events in this family was found (Yang et al. 2012). However, gene family expansion by means of duplication events was a recurrent feature for the *DHN* family in other species. In *P. mume* and rice, the *DHN* family is composed of six and eight members, respectively, with both species displaying genes arranged as tandem repeats (Wang et al. 2007, Du et al. 2013). In other species, besides tandem rearrangements, segmental duplication may have equally contributed to the expansion of *DHN* family, which is composed of 10 members in *Arabidopsis* (Hundertmark and Hinch 2008), 13 in barley (Battaglia et al. 2008), 11 in poplar (Liu et al. 2012b) and 10 in soybean (Yamasaki et al. 2013).

The construction of phylogenetic trees showed *MdDHN* members present into four of the six clusters identified. All *MdDHNs* that mapped to chromosome 2 clustered in groups C and D (Fig. 2). Three *PmDHNs*, which are disposed as tandem repeats in the genome (Du et al. 2013), are also present in these groups. The synteny analysis revealed that *PmLEA10* is one of a series of genes that show collinear relationships with two regions of apple chromosome 2, one region containing *MdDHN2* and other containing *MdDHN10* (Fig. 3). Additionally, *PmLEA29* and *MdDHN8* concomitantly clustered in the same branch of the phylogenetic tree and mapped to the same collinear region. Taken together, these results suggest that, at least for the *DHN* gene family, duplication events happened after the Rosaceae family diversification from *Prunus* and *Malus* ancestors,

with another round of gene duplication events occurring only in the *Malus* genus (Figs 2 and 3).

In order to quantify the transcript accumulation of *MdDHN1–11* in different apple tissues and organs, RT-qPCR was performed (Fig. 4). However, our results differed from those reported by Liang et al. (2012), who performed semi-quantitative RT-PCR in five organs: flower, fruit, leaf, root and seed. Only *MdDHN7*, *MdDHN8* and *MdDHN9* shared common expression patterns comparing both studies. These different results may derive from at least three possible reasons. First, we identified five genes mainly expressed in closed terminal buds, which were not analyzed by Liang et al. (2012). Moreover, because *DHN* expression is highly regulated by temperature and water availability, the differences between results may be due to environmental factors. Finally, RT-qPCR is a much more sensitive technique than semi-quantitative RT-PCR and permits an accurate quantification (Gachon et al. 2004).

Interestingly, 7 of 12 genes (approximately 64%) of this family were mostly expressed in dormant organs, i.e. seeds and closed terminal buds (Fig. 4). This finding is in agreement with transcript accumulation patterns described previously for the *LEA* gene family, which is known to be up-regulated during seed maturation and desiccation, phenomena related to seed dormancy in *Arabidopsis* (Rorat 2006, Holdsworth et al. 2008, Angelovici et al. 2010). Furthermore, a recent report identified common transcriptional pathways during dormancy release of buds and seeds in peach, including a *LEA*-like family member (Leida et al. 2012). Therefore, we suggest that some adaptive pathways analogous to seed dormancy may also be present in the bud dormancy process. Finally, the marked expression of *MdDHN11*, reaching 6000-fold higher levels in mature seeds relative to closed terminal buds, suggest that this gene has an important role in seed tolerance to desiccation (Fig. 4).

Comparative genomics aims to track characteristic features of orthologs in multiple genomes (Thornton and DeSalle 2000). Therefore, the identification of orthologous and paralogous sequences along with functional information may be used as a tool to predict gene function (Zhang 2003). In fact, some interesting relationships may be drawn by the comparison of the phylogenetic results with the RT-qPCR data (Figs 2 and 4). All genes that clustered in groups A and C were mostly expressed in seeds (Hundertmark and Hinch 2008, Liu et al. 2012b, Yang et al. 2012, Yamasaki et al. 2013, this work). *PmDHNs* were mainly expressed in flowers, although gene expression in seed was not analyzed (Du et al. 2013). We, therefore, propose that *DHNs* from groups A and C are mostly seed-expressed genes. *MdDHN1*, *MdDHN7*, *MdDHN10* and *PmLEA10*

formed a branch in group D. Interestingly, *PmLEA10* was expressed in all five *P. mume* organs analyzed (Du et al. 2013) and a very similar expression pattern was also found for *MdDHN7* and *MdDHN10* (Fig. 4). Finally, *MdDHN8* and *MdDHN9*, which exhibited a transcript accumulation pattern throughout many tissues and organs of apple, formed a branch with *PmLEA29* in group F. Accordingly, *PmLEA29* also presented a wide pattern of transcript accumulation in *Prunus* (Du et al. 2013). In conclusion, our phylogenetic analysis strongly agrees with our transcript accumulation data, reinforcing the importance of the combination of these analyses in the prediction of *DHN* gene function.

The gene expression of *MdDHNs* was analyzed through an annual cycle of 'Fuji Standard' closed terminal buds. Transcripts of *MdDHN1*, *MdDHN9* and *MdDHN10* accumulated after winter, in a similar trend to the results reported for pear and sessile oak (Liu et al. 2012a, Ueno et al. 2013). Moreover, Tompa et al. (2006) characterized water and ion binding of *AtDHNs* and showed that these proteins can bind large amounts of water and solute ions. In this sense, the *DHN* competence to retain water could be one possible explanation to our findings, given that water supply is a necessary attribute during normal plant growth. On the other hand, *MdDHN2*, *MdDHN3*, *MdDHN4*, *MdDHN5*, *MdDHN6*, *MdDHN8* and *MdDHN11* showed a seasonal transcriptional profile during winter allied with a remarkable repression near budbreak (Fig. 5). Similar seasonal patterns of *DHN* transcript accumulation was already found in field-harvested samples of birch and Norway spruce (Welling et al. 2004, Yakovlev et al. 2008), bark tissues of eight woody species and during bud dormancy in *P. mume* (Wisniewski et al. 1996, Yamane et al. 2006). *DHN* accumulation, even under the metabolically less active endodormant state, might be partially explained by the reduction of water availability observed in buds during winter (de Fay et al. 2000, Améglio et al. 2002, Rinne et al. 2011).

In an attempt to characterize the seasonal *MdDHN* expression previously identified in closed terminal buds (Fig. 5), three experiments using controlled temperatures were carried out to produce contrasting MBP samples. We gathered data that confirmed the contrasting CR between Castel Gala and Royal Gala cultivars (Fig. 6A, B and Fig. S4A; Denardi and Seccon 2005, Anzanello et al. 2014a); and the reversion of the chilling effect over endodormancy after exposure to growth permissive temperatures (Fig. S5A; Richardson et al. 1974, Erez et al. 1979, Young 1992).

In the first experiment, all *MdDHN* genes presented increased steady-state mRNA levels after exposure to LT6, suggesting that cold affects gene regulation of the

whole *MdDHN* family, with the exception of *MdDHN7* (Fig. S4B). However, studies analyzing gene expression of the *DHN* family in apple and grapevine seedlings under cold treatment found that only a few members were induced by this condition (Liang et al. 2012, Yang et al. 2012), suggesting that closed terminal buds impose a different regulation mechanism over *MdDHN* expression under cold (Fig. S4). Interestingly, when analyzing the influence of growth permissive temperatures during endodormancy, two patterns of gene expression were observed (Fig. S5). Prolonged exposure to 25°C decreased the transcript accumulation levels of *MdDHN2–6*. Furthermore, these genes restored their expression levels after re-exposure to cold, although no significant alterations in the MBP were measured. These profiles closely agree with the models proposed for almond, birch and peach *DHNs* (Welling and Palva 2008, Barros et al. 2012, Artlip et al. 2013). In these models, some members of the CBF family of transcription factors are involved in cold acclimation after endodormancy induction, and seasonally regulate the expression of *DHNs*. In addition, Arora et al. (1997) induced cold acclimation in blueberry floral buds followed by a dormancy neutral treatment that caused deacclimation. These authors observed an increased level of DHN proteins during acclimation followed by a reduction after deacclimation. Their results suggest that DHN changes are more closely related to cold hardiness rather than with dormancy. In agreement to Arora et al. (1997), our findings suggest that *MdDHN2–6* are environmentally regulated, probably mediated by CBFs, and our treatment likely triggered a deacclimation process in these bud samples.

Finally, our last experiment attempted to simulate the winter season in Southern Brazil, as we used a daily cycle of 12 h at 3°C and 12 h at 15°C to induce and release dormancy (Fig. 6). Once again, *MdDHN2–6* were differentially regulated in comparison to the other *MdDHNs*. Interestingly, buds kept accumulating *MdDHN2–6* transcripts while dormant, given that we analyzed gene expression before forcing budburst. Considering that these five genes present at least one C-repeat/DRE *cis* element in their promoter regions (data not shown), one hypothesis could be that the transcriptional regulation of these five genes during bud dormancy likely occurs through the CBF pathway. This result agrees with the findings reported by Artlip et al. (2013), who stated that peach *CBFs* are LT-inducible and directly regulate the expression of *PpDHN1*, the promoter of which contains two C-repeat/DRE *cis* elements. Furthermore, ectopic expression of a peach *CBF* in apple triggered dormancy induction by short days (Wisniewski et al. 2011). The same group further analyzed the expression of genes

known to be associated with freezing tolerance and dormancy in these plants proposing a model where *CBFs* regulate the expression of apple dormancy-related genes while concomitantly induce cold-regulated genes, such as *DHNs* (Wisniewski et al. 2015). Within this context, *MdDHN* accumulation could be one of the mechanisms partially responsible for bud cold tolerance during winter. For instance, the ability of *DHNs* to shift their conformational status during changes in water availability, as also the ability to bind in partly dehydrated surfaces of other proteins (Tompá et al. 2006, Graether and Boddington 2014), could act protecting bud integrity. In summary, our results indicate that distinct *MdDHNs* play different functions in the cell, with overlapping levels, and that their expressions are fine-tuned by the environment during the dormancy process in apple.

Author contributions

V. S. F., Y. E. M. and D. D. P. performed all the sampling, RNA extraction and cDNA synthesis. V. S. F. also performed the RT-qPCR assay, the bioinformatic analysis, analyzed the data and drafted the manuscript. Y. E. M. and D. D. P. also carried out the RACE assays. R. A., H. P. S. and F. B. F. designed and executed the controlled temperature experiments. L. F. R., M. M. P. and G. P. contributed for the experimental design, discussion of the results and revision of the manuscript.

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Supporting Information

Additional Supporting Information may be found in the online version of this article:

Table S1. Sampling dates and developmental stages of the different tissues and organs harvested.

Table S2. Sampling dates, corresponding season and chilling hours accumulated by closed terminal buds harvested in 2009 and 2010.

Table S3. Accession codes of *DHN* genes used in the phylogenetic analysis.

Table S4. Primers employed in RT-qPCR studies.

Fig. S1. Sequence alignment between genomic and RACE sequences of *MdDHN11*.

Fig. S2. Significantly enriched motifs of *MdDHN* deduced proteins identified with the MEME software.

Fig. S3. PCR analysis of *MdDHN12* in ‘Gala Baigent’ apple trees.

Fig. S4. Endodormancy analysis and relative expression levels of *MdDHN1–11* genes in apple twigs exposed to cold.

Fig. S5. Endodormancy analysis and *MdDHN1–11* relative expression in ‘Royal Gala’ twigs exposed to cold and growth permissive temperatures.