



## Cryopreservation of Brazilian green dwarf coconut plumules by droplet-vitrification

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**ABSTRACT:** *This study evaluated the effect of vitrification solutions and exposure time on the cryopreservation of Brazilian green dwarf coconut plumules (BGD) using the droplet vitrification technique. Explants were excised from BGD mature fruits from the Active Germplasm Bank of Embrapa Tabuleiros Costeiros, Sergipe, Brazil. Firstly, embryos were disinfected, and after excision, plumules were pre-cultivated for 72 hours in Y3 + 0.6 M sucrose + 2.2 g L<sup>-1</sup> Gelrite® culture medium. Plumules were exposed to PVS2 and PVS3 solutions for 15 and 30 minutes and rapidly immersed in liquid nitrogen (-196 °C). After cryopreservation, they were thawed in culture medium solution (Y3 + 1.2 M sucrose) and cultured in regeneration medium. The experimental design was completely randomized in a 2x2 factorial scheme (vitrification solutions per exposure times), with five replicates per treatment. Data were compared by the Tukey's test at 5% probability. Significant differences were observed in the callogenesis percentage for the solutions x exposure time interaction for non-cryopreserved cultures (-NL) and for exposure time after cryopreservation (+NL). PVS2 and PVS3 combined with 15 minutes of exposure promoted the highest callus formation (70 and 100%, respectively) in control cultures. The exposure time of 30 min, regardless of vitrification solution, resulted in 30% embryogenic callus formation after cryopreservation. These results contributed to the long-term conservation of coconut palm.*

**Key words:** *Cocos nucifera L., cryoprotection, PVS2, PVS3.*

## Criopreservação de coqueiro anão verde do Brasil de Jiqui por vitrificação em gotas

**RESUMO:** *O objetivo desse estudo foi avaliar o efeito das soluções de vitrificação e do tempo de exposição na criopreservação de plúmulas de coqueiro anão verde do Brasil de Jiqui (BGD), pela técnica de vitrificação em gotas. Os explantes foram excisados de frutos maduros oriundos do Banco de Germoplasma Ativo de Embrapa Tabuleiros Costeiros, Sergipe, Brasil. Os embriões foram desinfestados e as plúmulas, após a excisão, pré-cultivadas durante 72 horas em meio de cultura Y3 suplementado com sacarose 0,6 e 2,2 g L<sup>-1</sup> Gelrite®. As plúmulas foram expostas em soluções de PVS2 e PVS3 durante 15 e 30 minutos, e rapidamente imersas em nitrogênio líquido (-196 °C). Após a criopreservação, foram descongeladas na solução de meio de cultura Y3 com 1,2 M de sacarose, e cultivadas em meio de regeneração. O delineamento experimental foi inteiramente casualizado em esquema fatorial 2x2 (soluções de vitrificação x tempos de exposição), com cinco repetições por tratamento. Os dados foram comparados pelo teste de Tukey à probabilidade de 5%. Observaram-se diferenças significativas na porcentagem de calogênese para a interação entre soluções e tempo de exposição para as culturas não criopreservadas (-NL), e para o tempo de exposição após a criopreservação (+NL). O PVS2 e o PVS3 combinados com 15 minutos promoveram a maior formação de calo (70 e 100%, respectivamente) nas culturas de controle. O tempo de exposição de 30 min, independente da solução de vitrificação, promoveu 30% da formação de calos embriogênicos após a criopreservação. Estes resultados contribuem para a conservação em longo prazo do coqueiro.*

**Palavras-chave:** *Cocos nucifera L., crioproteção, PVS2, PVS3.*

### 1 INTRODUCTION

2  
3 Coconut (*Cocos nucifera* L.) is also known  
4 as the “tree of life”, because each component of  
5 the palm tree can be used for fresh consumption or  
6 transformed by industrialization. It is a tropical plant  
7 that presents a range of products and by-products  
8 for use in human nutrition, construction industry,  
9 cosmetics, pharmaceuticals and in production of  
10 biodiesel. Since it is adapted to soils of low natural  
11 fertility, it is cultivated in more than 86 countries.  
12 In 2017, Brazil ranked sixth in the world, with  
13 production around 2,342,942 tons (FAO, 2019).

14 Coconut farming is significant to  
15 the Northeastern Brazil economy, where the

most production is located (71.36%), producing  
684,501,049 thousand fruits. The largest producers  
in this region are the states of Bahia, Ceará,  
Pernambuco and Sergipe. The Northern region, with  
13.54%, and the Southeastern region, with 14.20%  
of production, also contributed to the national  
supplying (IBGE, 2017).

The conservation of coconut genetic  
resources is mainly accomplished through field  
collections due to the seed size and recalcitrance,  
making its storage difficult (N’NAN et al., 2008).  
Cryopreservation comprises the conservation of  
plant material at ultra low temperature provided by  
liquid nitrogen at -196 °C, or with its vapor phase  
at -150 °C. Thus, the technique becomes a viable

1 procedure for conservation of biological material  
2 for long periods of time, requiring little space and  
3 maintenance (BENSON, 2008).

4 Cryopreservation has been used for  
5 genetic resources conservation of many species,  
6 especially recalcitrant ones. First studies with coconut  
7 were carried out by ASSY-BAH and ENGELMANN  
8 (1992), using vitrification technique in mature PB  
9 121 zygotic hybrid coconut embryos (Malayan  
10 yellow dwarf x African tall), Cameroon red dwarf,  
11 Indian tall and Rennel tall coconut genotypes. Several  
12 researchers have published promising results with  
13 different techniques using zygotic embryos, plumules,  
14 inflorescence and pollen as explants (SAJINI et al.,  
15 2011; CUETO et al., 2014; MACHADO et al., 2014;  
16 WELEWANNI et al., 2017).

17 There is scarce literature about the  
18 cryopreservation of plumules and zygotic embryos  
19 from accessions collected in Brazil such as Brazilian  
20 green dwarf (BGD accession) and Brazilian tall  
21 genotypes. Preliminary studies have been published  
22 to evaluate the viability of cryopreserved BGD  
23 accession by means of electrolytic conductivity and  
24 potassium leaching tests (COPELAND-GOMES  
25 et al., 2012, 2015); however, without regeneration  
26 after cryopreservation. Recent studies have shown  
27 the feasibility of the vitrification technique for the  
28 cryopreservation of BGD zygotic embryos (LEDO  
29 et al., 2018). Moreover, regeneration techniques by  
30 somatic embryogenesis from plumules are more  
31 interesting from the point of view of breeding and  
32 conservation of genetic resources because they  
33 allow obtaining higher number of plants (NGUYEN  
34 et al., 2015).

35 Studies with cryopreserved of Malayan  
36 yellow dwarf coconut plumules by encapsulation-  
37 dehydration reached only 20% survival (N'NAN et  
38 al., 2008). The vitrification technique that proposes  
39 the cryoprotection of explants was reported for  
40 other coconut genotypes, with good performance  
41 of vitrification solution 3 (PVS3), according to  
42 NISHIZAWA et al. (1993). According SAJINI et al.  
43 (2011) PVS1, PVS2 and PVS4 vitrification solutions  
44 were harmful to zygotic embryos. This study evaluated  
45 the effect of vitrification solutions and exposure time  
46 on the cryopreservation of BGD accession plumules  
47 using the droplet vitrification technique.

## 48 MATERIALS AND METHODS

### 49 *Collection, disinfect and isolation of plant material*

50  
51 Mature BGD coconut fruits (10-11  
52 months of age) originated from three mother plants

of the Coconut Active Germplasm Bank of Embrapa  
Tabuleiros Costeiros, Sergipe, Brazil, were used.  
The endosperm cylinders containing the zygotic  
embryos were removed and sterilized by immersion  
in 2-2.5% commercial sodium hypochlorite solution  
for 30 minutes, followed by triple wash in water.  
Subsequently, the material was packed in sterile plastic  
bags and sent to Plant Tissue Culture Laboratory.

In laminar flow chamber, embryos were  
excised from the endosperm cylinders. Then,  
they were disinfected by immersion in 70% ethyl  
alcohol for 30 seconds, in sodium hypochlorite  
solution (2-2.5% v / v) for five minutes, followed  
by triple washing in distilled and sterile water and  
in sealed vials.

### 53 *Pre-culture, cryoprotection, cryopreservation, thawing and regeneration*

After disinfecting, embryos were pre-  
cultured in sterile 140 mm x 15 mm polystyrene Petri  
dishes containing 4 mL of Y3 medium (EEUWENS,  
1976) containing 0.6 M sucrose and 2.2 g L<sup>-1</sup> Gelrite®  
(adapted from SAJINI et al., 2011). Before that, the  
culture medium pH was adjusted to 5.8 ± 0.1 and  
autoclaved for 15 minutes at temperature of 121 ± 1 °C  
and pressure of 1.05 atm.

Cultures were maintained in growth room  
for 72 hours at controlled temperature of 25 ± 2 °C,  
relative humidity of about 70% in the absence of light.  
After this period, plumules were excised and exposed  
to Plant Vitrification Solution 2 - PVS2 (SAKAI et  
al., 1990): (30% (v/v) glycerol; 15% (v/v) ethylene  
glycol and 15% (v/v) dimethyl sulfoxide-DMSO and  
Plant Vitrification Solution 3- PVS3 (NISHIZAWA  
et al., 1993; KIM et al., 2009): 50% glycerol (w/v)  
and 50% sucrose (w/v) solutions at 0 °C for 15 and  
30 minutes and rapidly immersed in liquid nitrogen  
(-196 °C). To this end, drops containing 0.25 mL of  
cryoprotective solutions were added with the aid of  
a Pauster pipette in aluminum foil strips (~ 5 mm x  
15 mm, 05 drops / aluminum strip), and plumules  
were immersed in the drops and kept for 15 and  
30 minutes. After each exposure time, strips were  
immersed in liquid nitrogen and inserted into sterile  
polystyrene cryotubes of 2 mL capacity and quickly  
transferred to liquid nitrogen for 24 hours (SAKAI &  
ENGELMANN, 2007).

After cryopreservation, plumules were  
thawed in solution composed of Y3 culture medium  
supplemented with 1.2 M sucrose at temperature of 25  
± 2 °C for 15 to 20 minutes. Then, they were cultured  
in 140 mm x 15 mm sterile polystyrene Petri dishes  
containing regeneration medium composed of salts

1 and vitamins from the Y3 culture medium with 50 g  
2 L<sup>-1</sup> sucrose; 100 mg L<sup>-1</sup> of 2,4-Dichlorophenoxyacetic  
3 acid (2,4-D); 3 g L<sup>-1</sup> activated charcoal and 2.2 g L<sup>-1</sup>  
4 Gelrite®. Cultures were maintained in growth room  
5 with controlled temperature of 25 ± 2 °C, relative  
6 humidity of about 70% in absence of light until  
7 formation of the first embryogenic structures, which  
8 were transferred to indirect light (26 μmol m<sup>-2</sup> s<sup>-1</sup>).

9 In order to evaluate the effects of  
10 cryoprotectant solutions on plumules exposed  
11 (+NL) and non-exposed (-NL) to liquid nitrogen,  
12 the survival percentage and percentage of  
13 embryogenic callus formation were observed at 45  
14 days of *in vitro* culture.

#### 15 *Histological analyses*

16 Samples were fixed in FAA solution  
17 composed of formaldehyde; 70% alcohol and glacial  
18 acetic acid (JOHANSEN, 1940). Dehydration was  
19 performed in increasing ethylic series (80%, 90%  
20 and 100%) at intervals of 1 hour each. Subsequently,  
21 samples were placed in pre-infiltration solution for 2  
22 hours and then in infiltration solution for 24 hours in  
23 the refrigerator. The inclusion solution was prepared  
24 following proportions indicated by the histo-resin kit  
25 (Leica Microsystems, Heidelberg, Germany), with the  
26 addition of activated resin hardener. Plant fragments  
27 were infiltrated in histomolds and polymerized at room  
28 temperature. After material inclusion, microtomy was  
29 performed using semiautomatic rotating microtome  
30 (SLEE, Mainz, Germany). By defining thickness of  
31 8 μm, sections were performed and placed on blade  
32 containing a small amount of water. After drying at  
33 room temperature, callus samples were stained in  
34 toluidine blue at pH 4.8 and then washed with acetic  
35 water. After drying, blades were fixed with stained

1 glass and then observed under optical microscope  
2 (Nikon Eclipse E100 coupled to Infinity 1 camera),  
3 where photomicrographs were performed.

#### 4 *Experimental design and statistical analyses*

5 The experimental design was completely  
6 randomized in a 2 x 2 factorial scheme (2 vitrification  
7 solutions x 2 exposure times) with five replicates per  
8 treatment, each plot consisting of ten plumules. Data  
9 were transformed into arcsine of  $\sqrt{X + 0.5}$ , according  
10 to analysis of variance prerequisites. Averages were  
11 compared by the Tukey test at 5% significance. The  
12 SAS® statistical software (SAS version 9.2) was used.  
13

## 14 **RESULTS AND DISCUSSION**

### 15 *Effect of the cryoprotectant solution and exposure 16 time on survival and callus induction percentage of 17 non-cryopreserved (-NL) and cryopreserved (+ NL) 18 BGD plumules*

19 There was a significant effect of  
20 cryoprotectant solution, exposure time and  
21 interaction of factors on the survival and callogenesis  
22 percentages of non-cryopreserved and cryopreserved  
23 plumules (Table 1).  
24

25 High survival percentage of non-  
26 cryopreserved and cryopreserved plumules was observed  
27 in different solutions and exposure times; however, same  
28 response was not observed for callogenesis percentage  
29 in cryopreserved plumules (Table 2). Coconut is  
30 considered one of the most recalcitrant species for *in*  
31 *vitro* regeneration (PEREZ-NUNEZ et al., 2006) and  
32 probably the process of exposure to liquid nitrogen has  
33 contributed to this behavior.  
34

35 Initial induction (30 days) of calluses with  
36 coloration ranging from whitish to creamy-yellowish

Table 1 - Analysis of variance of survival (% SOB) and callogenesis percentage (% CALO) of non-cryopreserved (-NL) and cryopreserved (+ NL) Brazilian green dwarf coconut plumules as a function of the cryoprotectant solution and exposure time<sup>1</sup>.

Source of variation	DF <sup>2</sup>	AS <sup>3</sup> % SOB (-NL)	AS % CALO (-NL)	AS % SOB (+NL)	AS % CALO (+NL)
Cryoprotectant (C)	1	20.8267**	90.0841**	2.3681 <sup>ns</sup>	0.8420 <sup>ns</sup>
Time (T)	1	47.8551**	23.8469**	0.3767 <sup>ns</sup>	145.2670**
C * T	1	30.3080**	23.8469**	0.0169 <sup>ns</sup>	0.8420 <sup>ns</sup>
Error	16	3.9601	43.1823	0.7545	0.4863
VC (%)		6.02	20.79	9.27	25.88

<sup>1</sup>data transformed into arcsine  $\sqrt{x + 0.5}$ ; DF- Degrees of freedom, AS- Average square.

<sup>ns</sup> - not significant at 5% probability; \*\* significant at 1% probability.

Table 2 - Mean <sup>1</sup> survival percentage at 30 days and callogenesis at 60 days in non-cryopreserved (-NL) and cryopreserved (+ NL) Brazilian green dwarf coconut plumules as a function of cryoprotectant solution <sup>2,3</sup> and exposure time.

	-----% Survival – NL-----		Mean	-----% Callogenesis –NL-----		Mean
	15 minutes	30 minutes		15 minutes	30 minutes	
PVS2	92aA	80aB	86	18bB	66bA	42a
PVS3	100aA	20bB	60	100aA	100aA	100a
Mean	96	50		59	83	
	-----% Survival +NL-----		Mean	-----% Callogenesis +NL-----		Mean
	15 minutes	30 minutes		15 minutes	30 minutes	
PVS2	96aA	92aA	94	0aA	30 aA	17.50a
PVS3	84aA	80aA	82	0aA	25 aA	12.50a
Mean	90	86		0B	30A	

<sup>1</sup>Means followed by the same uppercase letter, in the line, and lower case, in the column do not differ by the Tukey test at 5% significance.

<sup>2</sup>PVS2-Vitrification solution 2 composed of 30% glycerol (v / v), 15% ethylene glycol (v / v), 15% dimethylsulfoxide- DMSO (v / v) (SAKAI et al., 1990).

<sup>3</sup>PVS3- Vitrification solution 3 composed of 50% glycerol (v / v) and 50% sucrose (v / v) (NISHIZAWA et al., 1993).

1 (Figure 1A) was observed on the entire surface of non-  
2 cryopreserved plumules of non-friable consistency.  
3 The same morphogenetic pattern was observed by  
4 AZPEITIA et al. (2003) and Pérez-Nunez et al. (2006).  
5 Later, at 45-60 days of callogenesis, the formation of  
6 translucent “ear” structures was observed (Figure  
7 1B) and then the development of globular structures  
8 (Figure 1C). This pattern was also observed by Pérez-  
9 Nunez et al. (2006) in cryopreserved Malayan green  
10 dwarf coconut. Cryopreserved Brazilian Green Dwarf  
11 coconut plumules presented the same development  
12 of non-cryopreserved plumules; however, with later  
13 onset of the process around 45 to 60 days.

14 Callogenesis percentage in plumules  
15 submitted to PVS2 and PVS3 for 15 minutes was  
16 92% and 100%, respectively, higher than the time  
17 of 30 minutes, 80 and 20%, respectively, in non-  
18 cryopreserved (-NL) plumules (Table 2). This  
19 result indicated that BGD accession plumules may  
20 present less tolerance to longer exposure time in  
21 cryoprotectant solutions prior to cryopreservation.  
22 However, SAJINI et al. (2011) did not observe  
23 variations in the survival of West Coast tall  
24 coconut zygotic embryos at different times of  
25 exposure to PVS3.

26 The immersion in PVS3 solution for  
27 15 minutes, in non-cryopreserved (-NL) plumules  
28 promoted 100% survival and 100% callogenesis.  
29 This result can be explained by absence of

dimethylsulfoxide (DMSO) in PVS3 solution and the  
shortest exposure time.

3 Considering that cryopreservation involves  
4 cryoprotection, ultra-low temperature conservation  
5 and thawing, the ideal cryoprotectant solution should  
6 biologically protect cells during these steps (KARTHA  
7 & ENGELMANN, 1994). However, several authors  
8 have reported cytotoxicity and / or osmotic stress  
9 induced by exposure time and by DMSO, ethylene  
10 glycol and propylene glycol (SAJINI et al., 2011).  
11 These authors, studying various compositions of  
12 vitrification solutions, obtained 75% survival and  
13 20% regeneration in West Coast tall coconut zygotic  
14 embryos treated with PVS3 for 16 hours. However,  
15 in the present study, no toxic effects of DMSO were  
16 observed on non-cryopreserved plumules.

17 The longer exposure time of 30 minutes  
18 promoted, on average, higher callus induction (30%)  
19 in cryopreserved plumules when compared to 15  
20 minutes (0%) (Table 2).

### Histological analyses

23 Histological analyses revealed the  
24 embryogenic callus section, showing globular  
25 somatic embryo (GSE), in which the tissue forms  
26 a ring around the embryo containing meristematic  
27 cells. Most of these cells were observed in peripheral  
28 zone of callus, with denser staining in cytoplasm  
29 (Figure 2A). The embryogenic callus section

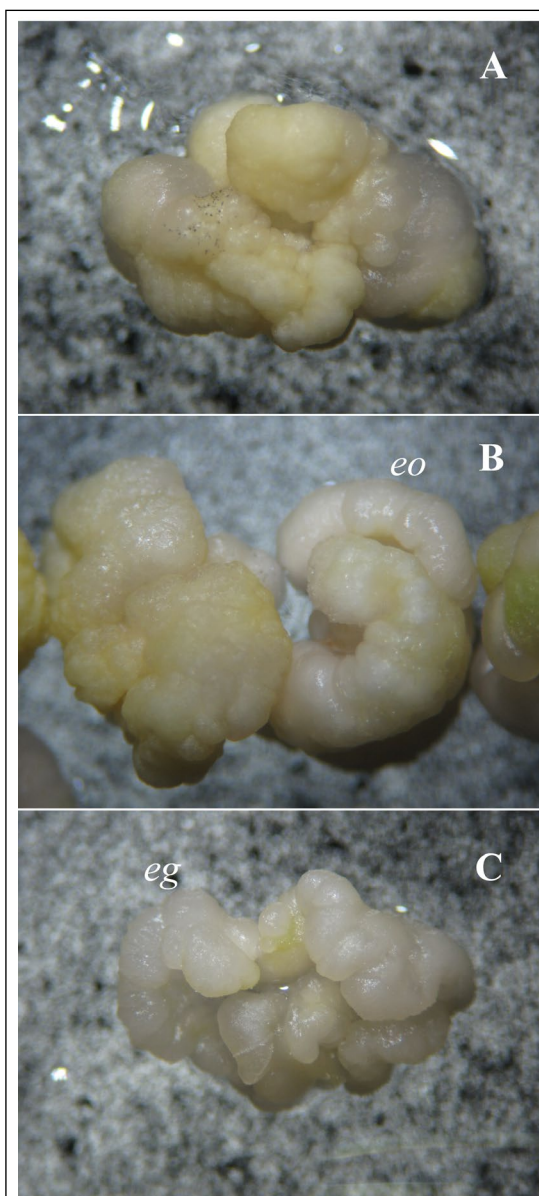
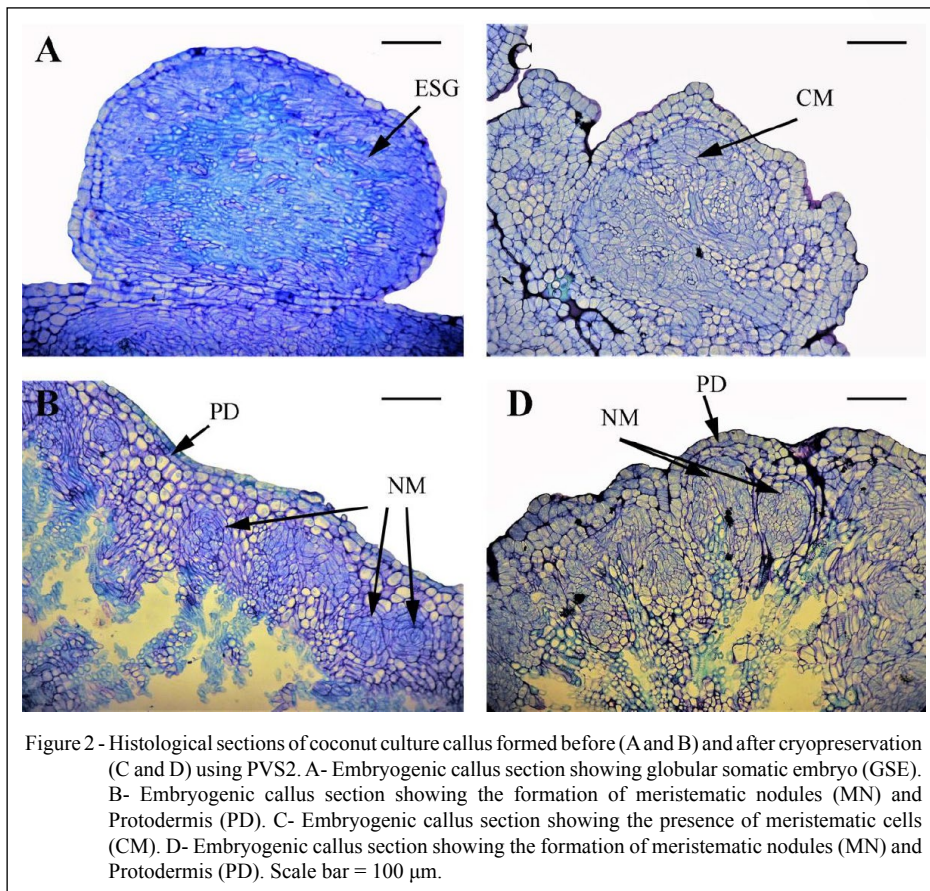


Figure 1 - Development of embryogenic calluses in non-cryopreserved and cryopreserved Brazilian green dwarf coconut plumules. A - calluses with whitish to creamy-yellowish coloration; B - "ear" type structure (eo); C- globular structures (egs). Photos: Leila Albuquerque Oliveira.

1 showing the formation of meristematic nodules  
 2 (MN) and protodermis (PD) indicated by presence  
 3 of small and densely stained meristematic cells  
 4 developed in meristematic nodules, located  
 5 along the peripheral zone of embryogenic  
 6 structures, but below a layer of stained cells that  
 7 form protodermis (PD) (Figure 2B). Histological  
 8 analysis after cryopreservation showed embryogenic

callus section with presence of meristematic cells  
 (MC), where the embryo did not reveal a well-  
 defined meristem, but some layers of meristematic  
 cells with dense cytoplasm staining in peripheral  
 tissues (Figure 2C). Embryogenic callus section  
 showing formation of meristematic nodules (MN)  
 and protodermis (PD) also after cryopreservation,  
 where there was multiplication of embryogenic

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1 callus, with formation of meristematic nodules, with  
 2 large and agglomerated cells with a small nucleus,  
 3 and presence of protodermis (Figure 2D).

4 The use of coconut plumules as source  
 5 of explants promotes possibilities for germplasm  
 6 conservation, allowing the maintenance of genetic  
 7 diversity through cryobanking (N'NAN et al., 2008).  
 8 Due to small size and phloem-free nature of plumule  
 9 tissues, it could be expected that this material would  
 10 be disease-free, thus facilitating the germplasm  
 11 exchange. According to histological analysis, it  
 12 was inferred that even after e cryopreservation of  
 13 plumules, there was callogenesis with formation  
 14 of embryogenic structures, which indicates that  
 15 multiplication can be efficiently obtained.

16 The presence of meristematic cells,  
 17 meristematic nodules and protodermis after  
 18 cryopreservation of coconut plumules was also  
 19 observed by PÉREZ-NÚÑEZ et al. (2006). The  
 20 authors emphasized that these embryogenic structures  
 21 produced by the embryogenic callus are able to

form somatic embryos. The formation of structures  
 occurred within a few days of culture and the induction  
 of embryogenic calluses and somatic embryos was  
 faster from primary somatic embryogenesis using  
 explants from plumules.

Thus, cryopreserved plumules presenting  
 pattern of embryogenic callus development at 45-60  
 days submitted to PVS2 for 15 minutes presented 92%  
 callogenesis, maintaining all the structures necessary  
 for the development of globular somatic embryos.

## CONCLUSION

PVS2 and PVS3 vitrification solutions and  
 exposure time of 30 minutes induced greater amount  
 of embryogenic calluses in cryopreserved BGD  
 coconut plumules.

After cryopreservation of plumules, no  
 changes in the cell structures that compromise the  
 development of embryogenic structures were observed.

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## 9 DECLARATION OF CONFLICT OF INTERESTS

11  
12 The authors declare no conflict of interest. The  
13 founding sponsors had no role in design of the study; in collection,  
14 analyses, or interpretation of data; in writing of the manuscript, and  
15 in decision to publish the results.

## 17 AUTHORS' CONTRIBUTIONS

18  
19 All authors contributed equally for the conception  
20 and writing of the manuscript. All authors critically revised the  
21 manuscript and approved of the final version.

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