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Abstract: Amazonia is well known for its high natural regeneration capacity; for this reason, passive restoration is normally recommended for the recovery of its degraded forests. However, highly deforested landscapes in southern Amazonia require active restoration. Since restoration methods can shape the quality and speed of early forest recovery, this study aimed to verify how active restoration pushes sites stably covered with exotic grasses towards forest recovery. We evaluated early forest succession at active restoration sites, i.e., soil plowing, direct seeding of pioneer species, and seedling stock planting at low density. We analyzed forest structure, diversity, and species composition in two age classes, 0.5–3.5 and 4.5–7.5 years old. As reference, we evaluated sites able to naturally regenerate in the same region. We sampled 36 active restoration and 31 natural regeneration sites along the Madeira River, southern Amazonia. Active restoration triggered succession to similar or higher levels of forest structure than sites where natural regeneration was taking place. The most dominant species did not overlap between active restoration and natural regeneration sites. The overall composition of species was different between the two restoration methods. Dominant species and size class distribution show that active restoration is performing successfully. Soil preparation combined with a high availability of seeds of pioneer trees resulted in a high stem density and basal area of facilitative pioneer trees. Planted seedlings added species diversity and increased density of large trees. Interventions to increase the odds of natural regeneration can be effective for non-regenerating sites in resilient landscapes.

Keywords: secondary succession; assisted natural regeneration; regeneration mechanisms

# 1. Introduction

Natural regeneration can be the most cost-effective method for tropical forest restoration [1]. Throughout the world, tropical forests are recovering after being deforested and replaced for pasture and agriculture [2]. In fact, when natural regeneration capacity is high, active restoration can hinder or alter the successional trajectory already taking place [3]. However, even in Amazon forests, known for its high capacity of naturally regenerating and recovering biomass [4], succession may be arrested depending on the intensity and length of previous land use [5–7]. In such cases, assisted natural regeneration or active restoration are recommended [2,5,8].



**Citation:** Vieira, D.L.M.; Rodrigues, S.B.; Jakovac, C.C.; da Rocha, G.P.E.; Reis, F.; Borges, A. Active Restoration Initiates High Quality Forest Succession in a Deforested Landscape in Amazonia. *Forests* **2021**, *12*, 1022. https://doi.org/10.3390/f12081022

Academic Editor: Pil Sun Park

Received: 7 June 2021 Accepted: 26 July 2021 Published: 31 July 2021

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In southern Amazonia, intensive agriculture and pastures, which involves annual soil revolving and application of herbicides, have dominated the landscape [9]. Through the reduction of forest cover and the elimination of local sources of regeneration (e.g., soil seed and sprouts bank) these landscapes have lost, or suffered severe reductions of, their potential for natural regeneration [5,8]. Introduced African grasses, mostly *Urochloa* spp., dominate these pastures and constrain seedling establishment [5,10]. In these cases, where natural regeneration does not initiate within a few years, active restoration is suggested [3,11].

Even if two or more methods are successful in restoring a site, each one leaves its own footprints on the recovery trajectory [8,11,12]. For example, although seedling planting restoration accelerates canopy closure and facilitates natural regeneration it also promotes a homogeneous dense canopy [11] that can hinder recruitment of early successional species [13]. In contrast, unassisted natural regeneration leads to a heterogeneous forest structure because of the heterogeneity of soil types, varying densities of invasive species, and random timing of species colonization. However, also in sites with high potential for natural regeneration, a monodominant canopy can be formed by native aggressive species [1,7]. In direct seeding of native species at high densities, another active restoration method, the canopy closes rapidly by the proximity of the stems [8], but the initial species composition is biased towards species adequate for seed and seedling production [12]. Natural regeneration and active restoration will often result in succession communities with different species composition because of the restrictions imposed by seed availability, germination efficiency, and survival in active plantings [12,14], which might potentially conduce to divergent successional trajectories.

In this study we aim to understand how active restoration can put exotic grasses dominated and non-resilient sites on the path to recovery. We compared early successional trajectory of active restoration sites with naturally regenerating sites, as reference. In this study area, active restoration is applied to sites without natural regeneration, and natural regeneration is applied to sites where natural regeneration is occurring. Active restoration consists of a mix of interventions: soil preparation, control of invasive grasses, seedling planting, and direct seeding of native species. We hypothesized that vegetation structure and species richness will be more developed in active restoration than natural regeneration, because the former (i) eliminates grasses, a major tropical forest recovery obstacle [15]; (ii) improves seedling emergence of pioneer tree seeds from the seed bank and seed rain by providing full sun and uncompact soils [8,16]; and (iii) adds individuals and species from the onset of the restoration, by directly seeding and seedling planting [14]. We also hypothesized that species composition differs between the restoration methods, because natural regeneration sites start with an assemble of recruiting species and have not been subject to any intervention, while active restoration sites start without natural regeneration and have been submitted to interventions that stimulate the establishment of a larger pool of species. Active restoration would be more predictable than natural regeneration, because non-regenerating sites are more homogeneous, and the interventions are standardized.

#### 2. Methods

### 2.1. Study Area and Restoration Interventions

The study sites are located along the Madeira River, south of the Jirau Hydroelectric Reservoir, in the Rondônia State, Brazil. The most common vegetation type in the study area is terra-firme forests, which occupies well-drained, nutrient-poor soils [17]. The forests were cleared in the 1970s for livestock pastures, resulting in sites dominated by the productive African grass *Urochloa brizantha* (see Rocha et al. [18] for more details). Pastures in the region are generally intensively managed using herbicides, mowing, and prescribed burning for pasture renovation and elimination of non-pasture plants regeneration (i.e., natural forest regeneration). Less intensified pastures are left to fallow for a few years and then renewed, a process that can be repeated multiple times. Old-growth and secondary forests cover 37% of the landscape in the study area [18].

The restoration sites are located within 30 to 100 m of the maximum flooding level over ca. 100 km along the Madeira River. These restoration sites are designated to protect the margins of the reservoir, in compliance with Brazilian environmental legislation. The restoration project uses an adaptive restoration plan, where the choice for the restoration method depends on a diagnosis of the potential for natural regeneration of each site. This is a practice to reduce costs and increase restoration success [19]. The presence of abundant natural regeneration previous to any management, mostly dominated by *Vismia* spp., indicated the potential for using natural regeneration as the restoration method. In sites where no or very few trees were found regenerating naturally, active restoration strategies were used.

Natural regeneration (NR) data was extracted from a study conducted in the same riparian buffer. In 2013, Rocha et al. [18] studied abandoned pastures after 0–15 years of forest succession (fallow age provided from Landsat imagery classification). We selected 31 of those sites to ensure the same age range of active restoration sites.

Active restoration (AR) was carried out during the years 2012–2018 in 36 sites that were covered with African pasture grasses and had low or no natural regeneration of forest species. Active restoration implemented in 14 sites before 2015 was based only on seedling plantings (Active SP). Over time and with the accumulated experience, we identified the possibility to reduce costs by using less seedlings per hectare and directly seeding other species. After 2015, active restoration was implemented in 22 sites using seedling planting and direct seeding (Active SP+DS). These sites were sampled between June and August 2019.

In Active SP sites (4.5–7.5 y-old in 2019), seedling spacing varied according to the density of naturally regenerating trees. In sites with very low or no natural regeneration (<400 tree recruits·ha<sup>-1</sup>), seedlings were planted at a 3 m × 2 m spacing; in sites with 400–1000 tree recruits·ha<sup>-1</sup>, the spacing was 5 m × 5 m or 5 m × 4 m. Despite the variation in seedling spacing, all Active SP sites were considered similar. We compared the structural attributes between narrow and wide spacing, grouping the sites with age between 4.5 and 7.5 years, and found no statistical difference for all vegetation attributes but absolute richness, where higher seedling density resulted in 41 species, while wide spacing sites had 35 species on average (*t*-test (n=12); basal area: *t* = 2.06; *p* = 0.091; canopy height: *t* = 1.91; *p* = 0.080; density of trees: *t* = -0.24; *p* = 0.812; density of seedlings: *t* = 0.40; *p* = 0.694; richness: *t* = 2.35; *p* = 0.038; rarefied richness: *t* = 1.51; *p* = 0.156).

Active SP+DS sites (0.5–3 y-old sites) had seedling planting at 5 m  $\times$  5 m spacing and additionally were directly seeded by manual broadcasting over the entire site. A total of 33 species were seeded, with each site having between 5 and 15 species sowed using different amounts of seeds (Table A1). These 33 species were pioneer or heliophyte with availability of seeds in the area. Total seed mass and species composition varied among sites (Table A1).

In the active restoration sites, the following steps were executed: (i) a few days before planting the soil was harrowed at 20–30 cm depth with a tractor-driven disc harrow to remove exotic grasses; (ii) seedlings were planted manually following the spacing described above, and seeds were broadcasted (only in the Active SP+DS); (iii) during the first two years after planting, herbicide (glyphosate) was applied one to four times to control *Urochloa* grasses until the tree canopy could shade out the grasses. Herbicide application was also subject to a learning process. While in the oldest sites (7.5 y-old) herbicides were applied extensively over the entire area (just avoiding damaging the planted seedlings), in the younger sites, application was targeted to the grass tussocks.

Active restoration and natural regeneration sites were evenly distributed along the riparian buffer (Figure 1).



**Figure 1.** Active restoration and natural regeneration sites sampled in the buffer zone of the Jirau Hydroelectric Reservoir along the upper Madeira River, Rondônia State (Brazil).

### 2.2. Sampling and Species Classification

In NR sites, one circular plot with a 10 m radius was established on each site, totaling 31 plots (for a more detailed description, see Rocha et al. [18]). In each plot, all stems of tree species with height >30 cm were identified and measured. Diameter of stems was measured with a digital caliper at ground level. From the available data, we separated trees (height > 2 m) from seedling (0.3 m < height < 2 m) to perform comparative analyses. To calculate the diameter at breast height (DBH), we transformed the diameter at the base using the equation for secondary forest plants provided by Gehring et al. [20], which transforms D30 cm to DBH.

We sampled 36 restoration sites, ranging from 0.9 to 39.7 ha. Five 25 m  $\times$  10 m plots were randomly allocated in each site, where trees (height > 2 m) were measured. Seedlings (0.3 m < height < 2 m) were measured within five 25 m  $\times$  4 m subplots nested along the longitudinal centerline of the plot. We identified seedlings and trees, and measured height (H) and DBH of trees. Species were collected and identified with assistance of botanists of the herbarium of Embrapa Genetic Resources and Biotechnology (CEN).

Species and stems were classified as "planted seedling", "direct seeded or natural regeneration" or as "natural regeneration". Stems were identified as "planted seedlings" in the field by their systematic location and species pool. It was not possible to distinguish between direct seeded- and natural regeneration-stems because direct seeded species were also (i) from the regional pool that normally colonize restoration sites or (ii) from seeds dispersed from adult trees planted as seedlings. Therefore, the class that included the direct-seeded species was named "direct seeded or natural regeneration". We used the list of seeded species for each site, and when a species was seeded it was classified as "direct seeding or natural regeneration" for that site. The non-planted species in each site were assigned to "natural regeneration".

We calculated basal area (m<sup>2</sup>/ha), density of trees and seedlings (ind/ha), and canopy height (m). The basal area was calculated including all tree stems (height > 2 m). The canopy height was defined as the upper decile of the height of trees sampled in each site. We calculated the rarefied species richness per 25 individuals, which was the lowest number of individuals of all plots, to allow direct comparisons between active restoration and natural regeneration. The comparisons between active restoration and natural regeneration were made separately for younger and older sites in order to consider the two different methods of active restoration: NR × Active SP (for 4.5–7.5 y-old sites) and NR × Active SP+DS (for 0.5–3.5 y-old sites). We performed ANCOVAs to assess difference in vegetation attributes between the two restoration methods, considering age as a co-variable. Density of trees and seedlings were square-root and log-transformed, respectively, to meet model assumptions.

To test if species composition differs between AR and NR sites and if AR is more predictable (homogeneous), we applied a distance-based redundancy analysis (db-RDA) [21]. We included age (as continuous variable) and method (AR and NR) as predictors of the species composition. Then, we used ANOVA to test the significance of the overall model and constraint variables. The distance index between pairs of sites used was the Chao–Jaccard on the abundance matrix. We found no spatial autocorrelation of species composition when applying a Mantel's test.

We described AR and NR sites in relation to the 10 most dominant species, separately for younger and older sites in order to consider the two different methods of active restoration.

To evaluate how restoration unfolds in active restoration sites, we estimated the relative contribution of "planted seedlings", "seeded seeds or natural regeneration", and "natural regeneration" on the tree community over time.

All analyses were conducted in R version 3.6.2 (R Core Team 2019), using vegan and stats packages.

#### 3. Results

At the NR sites, we recorded 2063 trees (in 0.85 ha) and 1125 seedlings (in 0.97 ha), from 45 families (with 2 non-identified stems) and 183 species. At the AR sites, there were 8794 trees (in 4.37 ha) and 6280 seedlings (in 1.8 ha), from 36 families and 155 species (with 15 non-identified stems; Table A2).

Active restoration triggered a structural forest succession to the same or higher levels of sites where natural regeneration was occurring (Figure 2). On average, basal area was  $3.49 \text{ m}^2/\text{ha}$  for AR and  $3.27 \text{ m}^2/\text{ha}$  for NR at younger sites, and  $8.86 \text{ m}^2/\text{ha}$  and  $9.35 \text{ m}^2/\text{ha}$  at older sites. Canopy height was 4.69 m for AR and 4.36 m for NR at younger sites, and 7.20 m and 7.35 m at older sites. Tree density was not different between AR and NR in both age classes. Seedling density was almost two times greater in AR SP+DS sites (mean of 2003 ind/ha) than in NR sites (mean of 1134 ind/ha), and six times higher at older ages (AR SP = 5927 ind/ha; NR = 978 ind/ha). Rarefied richness was higher in younger AR PS+SD (9.88 species per 25 stems) than in younger NR (6.66 species), as well as absolute richness, which was 27.5 in AR PS+SD and 9.66 species in NR on average. At older ages, rarefied and absolute richness was not significantly different between AR and NR, as AR did not increase, and NR increased relative to younger sites.



**Figure 2.** Forest structure attributes of vegetation community in active restoration and natural regeneration sites, located south of Jirau Hydroelectric Reservoir, along the upper Madeira River. Dots and triangles represent sampled sites. Regression lines describe the trajectories of the attribute over time divided in two blocks: 0.5–3.5 y-old sites, where active restoration included seedling planting and direct seeding of pioneer species (AR SP+DS) and 4.5–7.5 y-old sites, where it included only seedling plantings (Active SP). In the rarefied richness plot, non-filled shapes represent sites with less than 25 individuals sampled and absolute richness values were used in the plot, but not considered for the regression fit.

Active restoration had higher size-structure heterogeneity than natural regeneration, increasing the density of recruits (<2 m high trees; Figure 2), reducing intermediary size-classes and increasing density of large stems (>25.1 cm DBH; Appendix A: Figure A1).

The most dominant species were different between AR and NR (Figure 3). NR showed strong dominance of the same species over time. *Vismia* sp. was responsible for 58% of the total basal area in younger sites and 45% in older sites of NR (Figure 3). The younger AR sites had lower dominance, with ten species being responsible for 62% of the total basal area (Figure 3). The five most dominant species were the fast growing and short-lived *Senna alata, Trema michrantha, Solanum* spp., *Muntingia calabura, Cecropia purpurascens,* and the 6–10 most abundant were *Psidium guajava* (long-lived small tree), *Cochlospermum orinocense* and *Cecropia membranacea* (fast growing short-lived), *Inga edulis* (planted) and *Ceiba sumauma* (planted). In older AR sites, the dominance was even lower, with 55% of the basal area composed by the ten most abundant species, which were mostly non-planted naturally regenerating species (Figure 3).



**Figure 3.** Basal area (mean  $\pm$  standard error) of the ten most dominant species sampled in sites of natural regeneration (31) and active restoration (36) located in the south of Jirau Hydroelectric Reservoir, along the upper Madeira River. For 0.5–3.5-y-old sites, active restoration included seedling planting and direct seeding of pioneer species (Active SP+DS) and for 4.5–7.5-y-old sites, it included only seedling plantings (Active SP). Species with an asterisk (\*) were planted as seedlings in active restoration sites.

The db-RDA explained 28.6% (R<sup>2</sup>) of the variation in species composition and the model was significant (overall model:  $F_{2,64} = 8.01$ ; p = 0.001. Figure 4). First axis (CAP1) explained 24.1% and was mainly driven by the restoration method (AR or NR). The second axis (CAP2) explained an additional 4.5%, age being the most important variable. The effects of method and age on species composition were significantly different from random. The restoration method explained 23% of the variation in species composition ( $F_{1,64} = 2.79$ ; p = 0.006) and age explained only 3% ( $F_{1,64} = 13.22$ ; p = 0.002).



**Figure 4.** Biplot of the db-RDA of the species composition in 36 active restoration sites (triangle) and 31 natural regeneration sites (circles) located south of Jirau Hydroelectric Reservoir along the upper Madeira River. Black squares represent the centroids of the restoration methods. Abbreviations are the names of the most abundant species sampled in active restoration sites (in red), natural regeneration sites (in black), and both methods sites (three grey names), B.gro: *Belluccia grossularioides;* C.pur: *Cecropia purpurascens;* Myr: *Myrcia* sp.; V.cay: *Vismia cayennensis;* V.gra: *Vismia gracilis;* V.gui: *Vismia guianensis;* V.san: *Vismia sandwithii.* 

In active restoration sites, planted seedlings had a moderate contribution to basal area and stem density, reaching less than 30% of the basal area and 14% of the total stems in both AR SP+DS (younger) and AR SP sites (older; Figure 5a,b). Planted seedlings accounted for 42% of species richness in AR SP+DS, and for 25% in AR SP sites (Figure 5c). Natural regeneration dominated basal area, stem density, and species richness in active restoration sites (Figure 5). Direct seeding was applied only to 0.5–3.5-y-old sites. Seeded species, which could also be natural regeneration, contributed to 29% of the basal area,

24% of the stem density, and 9% of the species richness in AR PS+SD sites, where it was applied (Figure 5).



**Figure 5.** Contribution (mean percentage  $\pm$  standard error) of planted seedlings (PS), direct seeding or natural regeneration (DS or NR), and natural regeneration (NR) to the (**a**) basal area, (**b**) stem density, and (**c**) species richness of active restoration sites. For 0.5–3.5-y-old sites, active restoration included seedling planting and direct seeding of pioneer species (AR SP+DS) and for 4.5–7.5-y-old sites, it included only seedling plantings (Active SP). A total of 36 active restoration sites were sampled in the south of Jirau Hydroelectric Reservoir along the upper Madeira River.

### 4. Discussion

Our results showed that active restoration practices triggered forest succession on sites formerly dominated by African grasses that had weak or no natural regeneration. Forest structure in active restoration sites developed at a similar rate as sites naturally regenerating, therefore subject to passive restoration. Stem density and rarefied species richness were higher in active restoration sites than in natural regeneration sites, due to seed and seedling addition and, especially, to the stimulus of new stems and species recruitment. Species composition in active restoration sites included a shared dominance of short-lived pioneer trees, typical of less disturbed natural regeneration sites, in opposition to the *Vismia*-dominated natural regeneration sites [7].

#### 4.1. Active Restoration Is a Matter of Eliminating Regeneration Filters

The success of the active restoration was explained by preparing a loose soil, free from exotic grasses, with small furrows and mounds, associated with a high availability of seeds of pioneer trees. That resulted in high stem density and basal area of pioneer trees, as seen in the older sites with no direct seeding intervention. Species planted, either through direct seeding or planted seedlings, contributed little to the species richness (25–50%), and basal area (28–48%). In an experiment designed for decoupling the effects of soil preparation and seed and seedling addition, stem density was similar in plots with only soil preparation and those with soil preparation and seeding or seedling planting [5]. These results show that the barrier to natural regeneration in this landscape is not seed availability, but the local conditions for germination and establishment, and suggest that soil preparation and grass control might be more important than introducing species through planting.

In the restoration project studied here, direct seeding has been a safety strategy for sites that do not have enough seed density from seed rain or seed bank. Restorationists apply it to all active restoration sites without seeing the density of new seedlings after soil preparation, as it needs to be set during the first month after soil harrowing, which is the window of opportunity for germination and establishment. However, in landscapes with even lower forest cover and lower abundance of pioneer trees in the agricultural matrix, direct seeding might be necessary. Direct seeding can be a low-cost restoration strategy if it is based on common pioneer species that are abundant and easy to collect, and if labor for seed harvesting is available. When using a larger diversity of species and including old-growth forest species with recalcitrant seeds, costs might raise.

Forest landscape cover affects the effect size of the active restoration. Active restoration is more necessary to guarantee restoration success in landscapes with low forest cover [22]. In the studied landscape, planted species promoted higher species richness in the restored sites only in the first few years. In the older sites, species colonization happened in natural regeneration sites to a point of no difference in species richness. We suggest that with the forest cover in the studied landscape of Amazonia (37% [18]), restoration intervention should focus on structuring a tree canopy in order to facilitate natural colonization. At the southern border of Amazonia, in a landscape with 18% forest cover on average, non-planted species colonize sites at an average rate of 0.4 species/year for 10 years after direct-seeding restoration (considering a plot size of 500 m<sup>2</sup> for adults, 100 m<sup>2</sup> for saplings and 25 m<sup>2</sup> for seedlings [8]). Thus, even within highly deforested landscapes, active interventions will be more efficient if designed for triggering natural regeneration, than planting an assembled forest.

Differently from the southern Amazonia, other restored tropical forests are sustained by the planted seedlings [12–14,23]. In the Atlantic Forest, 97% of the above ground biomass in 7–20-year-old active restoration sites were from planted trees [14], evidencing that natural regeneration was scarce. Yet, in older (up to 53 years) active restoration sites in the Atlantic Forest, there is an increase of species- and life-form diversity, becoming similar in structure and species composition to old-growth forests [24].

### 4.2. Active Restoration and Naturally Regenerating Forests in Amazonia

The active restoration sites of up to 7.5 years old were dominated by different species when compared with the, formerly more resilient, natural regeneration sites. Natural regeneration sites were dominated by *Vismia* spp., responsible for 59% and 45% of basal area in younger and older sites, respectively. After active restoration, sites with a stable *U. brizantha* cover were dominated by *S. alata, Cecropia* spp., *Solanum* spp., *M. calabura*, and *T. michranta* in the first three

years and then by *C. purpurascens*. The dominance of *Cecropia* spp. makes actively restored sites more similar to highly resilient sites of Amazon forests than to the *Vismia*-dominated sites. In other parts of Amazonia, sites that have low resilience because they were subject to decades of exotic grasses cultivation and frequent fires to prevent forest recovery, tend to be dominated by *Vismia* spp. Sites that were subjected to milder use and thus retained higher resilience tend to be dominated by *Cecropia* spp. and to be more biodiverse [6,7].

The cause for the impoverished regenerating community at *Vismia*-dominated sites is attributed to the degradation of soil quality, loss of sprouting ability of most species, and non-facilitative life-history traits of *Vismia* spp. [6,25]. In this restoration project (see also [5]), we were able to verify that preparing soil and eliminating *U. brizantha* cover triggered an early community dominated by *Cecropia* spp. Thus, our study adds that the exotic grass cover is a strong filter that affects compositional trajectory. Removing the grass cover is a low-cost intervention that allows for a healthier succession. However, further studies are needed to assess if the early community facilitated by the intervention advances in a similar way to the highly resilient *Cecropia*-dominated sites in Central Amazonia. Soil conditions could differ between AR and NR sites prior to the restoration because AR sites had higher pasture intensification, with fertilizer and herbicide application and dense grass cover.

Active restoration sites were remarkably different from natural regeneration sites in respect to species composition. Natural regeneration sites were dominated by *Vismia* spp., species that maintain dense stem populations in heavily used pastures by resprouting [7]. Self-thinning in *Vismia*-dominated secondary forests is slower than in more resilient forests, because *Vismia* spp. have a long life-cycle and because they maintain the recruitment of new individuals by sprouting [26]. This resulted in less tall trees and less recruitment of non-planted species, compared to active restoration sites.

In active restoration sites, initial recruitment originated from short life-cycle trees, such as *Solanum* spp., *T. michrantha*, and *S. alata*. In high stem density sites, these species died after ca. 3 years, opening space for new recruitment. *Solanum* spp. is a genus of shrubs and small trees that colonize active pastures [27] and, in our study, it colonized sites with prepared soil. *Solanum* spp. attract high densities of bats that bring *Cecropia* spp. seeds to the site [28]. Consequently, *Cecropia* spp. emerged. This extremely fast compositional change promoted higher size-structure heterogeneity, increasing density of recruits and reducing intermediary size-classes. In addition, planted seedlings developed into large trees (>25.1 cm DBH) in active restoration sites.

#### 4.3. Active Restoration or Natural Regeneration?

This study contributes to the understanding of how restoration methods can shape early trajectories of forest recovery. Our study does not allow recommending which one is more cost-effective, since active restoration was applied to sites stably covered with African grasses and natural regeneration was applied to sites where forest was naturally regenerating. Given the low levels of funding for restoration, a simple recommendation would be to use natural regeneration where the natural regeneration potential is high enough to trigger and maintain a successional trajectory [1,3]. However, different compositional trajectories [6,7,25] and even more contrasting rates of structural development have been observed in natural regeneration [29], depending on the land use history. Variations are also observed in actively restored sites, due to different intervention methods [8,23,30,31]. Thus, a relevant question is if we can significantly improve secondary forests quality, for biodiversity, and carbon increment, with cost-effective interventions. We suggest that simple and cheap interventions directed at improving the potential for natural regeneration can be effective for non-resilient sites located in resilient landscapes.

Passive restoration might not be an option in some regions in southern Amazonia. In the state of Rondônia, there are municipalities with 15% of forest cover, and landscapes with less than 5% of forest cover if we consider landscape sizes of 25 km<sup>2</sup> (analyzed from Project MapBiomas Collection 4.1. www.mapbiomas.org.br (accessed on 22 June 2020)), a landscape size in which forest cover affects restoration success worldwide [22]. Southern Amazonia

has the largest area demanding restoration in order to comply with Brazilian environmental laws [32]; sites will probably need active restoration or assisted natural regeneration.

### 5. Conclusions

Active restoration practices applied to sites that had no or low natural regeneration fostered the development of forest structure in a similar rate as sites that had higher potential for natural regeneration and which were subject to passive restoration. Interestingly, active restoration led to higher stem density and rarefied species richness than passive restoration sites, mainly because of the elimination of factors limiting natural regeneration and less so because of the species introduced through seeding or planting. The preparation of the soil previous to planting and the grass control during the first 2 years stimulated the natural regeneration of a diversity of species leading to higher diversity, and lower dominance than in the passive restoration sites. In landscapes where local conditions are more limiting to forest regrowth than seed dispersal from the surrounding landscape, active restoration practices should focus on eliminating the limitations to succession and consequently stimulating natural regeneration.

**Author Contributions:** D.L.M.V. conceptualized the research; G.P.E.d.R., F.R., and A.B. collected the data; S.B.R., D.L.M.V., and C.C.J. analyzed the data, interpreted the results, and contributed to writing. All authors read and approved the final manuscript.

**Funding:** Energia Sustentável do Brasil (ESBR) sponsored this work through a contract with Embrapa Genetic Resources and Biotechnology to plan and monitor the restoration of the Permanent Reserve of the Jirau Dam. The sponsor (ESBR) was not involved in the design of the study; data analysis, and interpretation; writing of the manuscript; nor in the decision to submit this article for publication.

**Data Availability Statement:** The datasets generated, analyzed, or both, during the current study are available in the ForestPlot repository, https://www.forestplots.net (accessed on 20 August 2020).

Acknowledgments: Several workers from COOPPROJIRAU helped with the field survey. DLMV has a research grant from the National Council for Scientific and Technological Development (CNPq).

Conflicts of Interest: The authors declare that they have no competing interests.



Appendix A. Supporting Information

Figure A1. Diameter distribution in active and natural regeneration sites.

**Table A1.** List of the 33 species used in direct seeding with indications of the amount of seeds (measured in kilograms) sowed in each active restoration site after 2015. Each site is named with its area ID and age at the moment of sampling (2019). Sites are located south of the Jirau Hydroelectric Reservoir, along the upper Madeira River.

											Site-A	Age										
	A 1-0.5	A 2-0.5	A 3-0.5	A 1-1.5	A 2-1.5	A 3-1.5	A 4-1.5	A 5-1.5	A 6-1.5	A 7-1.5	A 8-1.5	A 9-1.5	A 1-2.5	A 2-2.5	A 3-2.5	A 1-3.5	A 2-3.5	A 3-3.5	A 4-3.5	A 5-3.5	A 6-3.5	A 7-3.5
Adenanthera pavonina Anacardium occidentale Annona montana					2.4		1.5						2.6	2.1	3.2	2.8		1.8	1.4	4.0	3.0	0.9
Apeiba tibourbou Bauhinia sp. Bellucia grossularioides	3.7	3.7					1.7								27	1.5	0.1	1.6	1.8	1.9	0.8	0.6
Bixa orellana Byrsonima crassifolia	15.1	15.1	11.8	4.0	2.5	1.0	1.9					2.0	0.5	5.0 1.0	11.2 5.0							
Cecropia spp. Ceiba sp.	1.1	1.1	2.8		2.7		0.6		0.5	0.5			1.5	1.3		1.0	0.1	1.9	1.4	2.2	1.9	
Cochlospermum orinocense Euterpe oleraceae Handroanthus avellanedae	3.7 1.2	3.7 1.2	2.1 1.2	12.1		2.1	$1.8 \\ 0.4$				0.5	7.0										
Handroanthus chrysotrichus Himatanthus sucuuba				2.1	1.3	1.4	0.7					0.5	0.3									
Hymenaea courbaril Jenipa americana				3.1				1.6	4.5	4.5		11.6			10.4	2.0		6.0	2.8	4.8	3.0	
Ochroma pyramidale Pachira sp.	4.1	4.1		3.9 1.7			0.8					11.0		2.7	10.4							
Schizolobium amazonicum Sclerolobium paniculatum	1.0	1.0		4.1 6.4	3.0 2.9	1.2 2.6	1.7 1.4				$1.0 \\ 0.5$	1.9 5.0	0.2 1.3			2.9		5.7	2.9	6.5	4.9	2.6
Senna alata Solanum spp. Spondias mombin	5.5 48.1	5.5 48.1	4.5 19.9 1.6	4.8 1.0	4.1	2.2	2.1 1.2	1.6 9.6	4.5 27.5	4.5 27.5	0.4	1.3 2.3	1.0	3.0 4.0	11.1 29.0	0.6 0.3	0.1 0.3	2.0 1.9	1.3 1.2	2.0 1.7	1.3 1.0	0.3
Stryphnodendron sp. Syzygium jambolanum Tabebuja serratifolia	5.2	5.2	4.1	5.1 2.6	2.4	4.9	2.1 0.3				0.5 0.5	5.8 0.1	2.6			0.9		3.8	2.0	2.4	2.9	0.3
Tachigali tinctoria Tapirira obtusa				5.0	3.7		010				0.4	1.7 3.0	2.4									
Trema micrantha Vismia antiscrophylla Vockisia sp.	171.1	171.	192.1				0.7	32.0 1.6	49.4 4.5	49.4 4.5	3.0	7.0			2.4	9.0	4.4	55.5	25.3	55.0	22.9	5.5
Total	259.4	259.	4140.1	55.9	25.0	16.3	18.9	46.4	90.9	90.9	6.8	37.6	12.4	25.7	75.0	21.0	5.0	80.2	40.1	80.5	41.7	10.2

**Table A2.** List of species sampled in active and natural regeneration sites, located south of Jirau Hydroelectric Reservoir, along the upper Madeira River.

	Active Restoration	Natural Regeneration
Achariaceae		
Lindackeria paludosa (Benth.) Gilg	х	
Anacardiaceae		
Anacardium occidentale L.	х	
Mangifera indica L.	х	
Spondias mombin L.	х	
Tapirira guianensis Aubl.	х	х
Thyrsodium spruceanum Benth.		х
Annonaceae		
Annona amazonica R.E.Fr.		х
Annona excellens R.E.Fr.		х
Annona sp. 01	х	
Annona sp. 02		х
Annonaceae 1	х	
Bocageopsis multiflora (Mart.) R.E.Fr.		х
Duguetia sp.		х
<i>Ephedranthus</i> sp.		х
Guatteria discolor R.E.Fr.		x
<i>Guatteria</i> sp.	х	x
Rollinia exsucca (DC. Ex Dunal) A. D.C.		х

	Active Restoration	Natural Regeneration
Xylopia frutescens Aulb.	х	х
<i>Xylopia</i> sp.	Х	
Apocynaceae		
Apocinaceae 01	х	
Aspidosperma macrocarpon Mart. Asvidosverma sp.	х	Х
Himatanthus articulatus (Vahl) Woodson		х
<i>Himatanthus sucuuba</i> (Spruce ex Müll. Arg.)	х	
Lacmellea gracilis (Müll.Arg.) Markgr.		х
Lacmellea sp.	х	
Tabernaemontana coriacea Link ex Roem. &	х	
Araliaceae		
Schefflera morototoni (Aubl.) Maguiro		
Steyerm. & Frodin		x
Arecaceae		
Astrocaryum aculeatum G. Mey.	х	
Euterpe oleracea Mart. Euterpe precatoria Mart.	X X	
Asteraceae		
Vernonia sp.	х	
Bignoniaceae		
<i>Cybistax</i> sp.		х
Handroanthus impetiginosus (Mart. ex DC.)	х	
Handroanthus serratifolius (A.H.Gentry)		
S.Grose	Х	Х
Jacaranda copata (Aubl.) D.Don Jacaranda sp.	x	х
Tabebuia sp.	A	х
Zeyheria tuberculosa (Vell.) Bureau	х	
Bixaceae		
Bixa orellana L.	х	х
Cochlospermum orinocense (Kunth) Steud.	X	
Burseraceae		
Protium sp. Protium amazonicum (Cuatroc) Daly	Y	х
Protium unifoliolatum Engl.	x X	
Tratinichia sp.		х
Trattinnickia rhoifolia Willd.		Х
Cannabaceae		
<i>Trema micrantha</i> (L.) Blume	Х	х
Chrysobalanaceae		
Couepia sp.		х
Hirtella sp. Hirtella rodriguesii Prance		X X
Licania sp.		x
<i>Licania latifolia</i> Benth. ex Hook. f.	х	
Licunia longistyla (HOOK.f.) Fritsch		X

	Active Restoration	Natural Regeneration
<i>Licania pallida</i> (Spruce ex Hook. f.) Spruce ex		х
Sagot Licania tomentosa (Benth.) Fritsch	х	
Cordiaceae		
Cordia sp.		х
Cordia goeldiana Huber	Х	
Cordia nodosa Lam.		Х
Cordia panicularis Rudge		Х
Cordia sellowiana Cham.		Х
Elaeocarpaceae		
<i>Sloanea</i> sp.		х
Erytrhoxylaceae		
<i>Erythroxylum macrophyllum</i> Cav. Euphorbiaceae		x
Alchornea discolor Poepp.		х
Croton sp.		Х
Croton matourensis Aubl.	х	х
Croton urucurana Baill.		Х
Hevea guianensis	Х	
Mabea sp.	Х	х
Mabea subsessilis Pax & K.Hoffm.		Х
Sapium glandulosum (L.) Morong		x x
Fabaceae		
Abarema jumunha (Willd) Britton & Killin		v
Adenanthera navonina I	Y	Α
Anuleia leiocarna (Vogel) I F Machr	x	x
Bauhinia sp. 01	x	X
Bauhinia sp. 02	X	
Bowdichia nitida Spruce ex Benth.	х	х
Clitoria fairchildiana R.A. Howard	х	
Dialium guianense (Aubl.) Sandwith		х
Dinizia excelsa Ducke	х	
Dipteryx odorata (Aubl.) Willd.	х	х
Enterolobium schomburgkii (Benth.) Benth.	х	х
Enterolobium sp.	х	
Fabaceae 1	х	
Fabaceae 2	х	
Fabaceae 3	Х	
Hymenaea courbaril L.	Х	
Hymenolobium sp. 01		x
Hymenolobium sp. 01	х	
Inga brachystachys (Ducke)		Х
Inga cayennensis Sagot ex Benth.		x
edulis Mart.	Х	х
Inga gracuiflora Benth.		x
inga laiocalucina Bonth	Х	N/
Inga multinarvia T D Donn		X
Inga mutuner ors 1.D. Feith. Inga obidensis Ducko		X
Inga nezizifera Bonth		X
Inga en 01		A V
Inga sp. 01	v	λ
Inga sp. 01 Inga sp. 02	λ	Y
Inga sp. 02	х	~

	Active Restoration	Natural Regeneration
Inga sp. 03	х	
Inga sp. 04	х	
Inga sp. 05	х	
<i>Inga</i> sp. 06	х	
Inga sp. 07	х	
Inga thibaudiana DC.		x
Inga vera Willd.	х	
<i>Libidibia ferrea</i> (Mart. ex Tul.) L.P. Queiroz	х	
Ormosia grossa Rudd	Х	
Parkia multijuga Benth.	Х	
<i>Parkia pendula</i> (Willd.) Benth. ex Walp.	Х	
<i>Platymiscium</i> sp.		x
<i>Pterocarpus</i> sp.	х	
Pterocarpus amazonum (Mart. ex Benth.)	х	
Amshoff		
Pterodon emarginatus Vogel	х	
Samanea tubulosa (Benth.) Barneby & J.W.	х	
Grimes		
Schizolobium amazonicum Huber ex Ducke	Х	
Senegalia sp.	Х	
Senna alata (L.) Roxb.	Х	
Senna multijuga (Rich.) H.S. Irwin & Barneby	Х	x
Stryphnodendron sp.		x
Stryphnoaenaron auckeanum Occhioni	Х	
Stryphnodendron pulcherrimum (Willd.)		х
Hochr.		
Swartizia arborescens (Aubl.) Pittier		X
Swurtzin sp.		x
Swartzia laurifalia Panth	X	
Swartzia lucida P.S. Cowon	Y	X
Swartzia corrugata Bopth	X	x
Tachigali sp		×
Tachigali chrusonhulla (Poepp) Zarucchi &		×
Herend		х
Tachigali tinctoria (Benth) Zarucchi &		
Herend	Х	
Vatairea fusca (Ducke) Ducke	x	
Vatairea sericea (Ducke) Ducke	А	x
Zugia racemosa (Ducke) Barneby &		X
LW.Grimes		х
Coupiesson		
Gouplaceae		
Golpea sp.		X
Goupia giabra Aubi.	X	X
Hypericaceae		
Thyrsodium spruceanum Benth.		х
Vismia cayennensis (Jacq.) Pers.		х
Vismia gracilis Hieron.	х	х
Vismia guianensis (Aubl.) Choisy	х	х
Vismia sandwithii Ewan	х	Х
Lacistemataceae		
Lacistema grandifolium Schnizl.		x
Lamiaceae		
Vitex triflora Vahl		х
Lauraceae		

	Active Restoration	Natural Regeneration
Aniba sp.		х
Lauraceae 1		х
Mezilaurus itauba (Meisn.) Taub. ex Mez	х	
Ocotea minor Vicent.		Х
Ocotea nigrescens Vicent.	Y	Х
	X	
Lechytidaceae		
Couratari stellata A.C.Sm.		Х
Eschweilera coriacea (DC.) S.A.Mori	Х	X
Eschweitera taevicarpa S.A.Mori		X
Lecymus sp. Cariniana micrantha Ducke	v	Χ
Couratari macrosperma A.C. Sm.	x	
I vthraceae	<i>x</i>	
Dhucocal muna coahominum Dohl	~	
Physocalymma scaberrimam Fold	X	X
Maipigniaceae		
Byrsonima sp.	Y	х
Bursonima sp. 02	X X	
Malyaceae	Α	
Apelou tibourbou Aubi. Caiha samauma (Mart.) K. Schum	X	X
Eriotheca sp	X	
L'hourecu sp. Luehea sp	Α	Y
Ochroma puramidale (Cay. ex Lam.) Urb.	х	X
Pachira aquatica Aubl.	х	
Pachira sp.	х	
Sterculia sp.	х	
Theobroma grandiflorum (Willd. ex Spreng.)	х	
K. Schum.	×	Y
Malastamatasaaa	X	λ
Belluccia grossularioides (L.) Iriana Belluccia sp	Х	X
Leandra cf dichotoma (Pay. ex D. Don) Cogn.	х	X
Miconia argyrophylla DC.		х
Miconia biglandulosa Gleason		х
Miconia cuspidata Naudin	х	х
Miconia elaeodendron (DC.) Naudin		Х
Miconia ferruginea (Desr.) DC.		Х
Miconia phanerostila Pilg.		х
Miconia poeppigii Triana		Х
Miconia pyrifolia Naudin	х	X
Miconia sp. 01		X
Miconia sp. 02		λ •
Miconia sp. 03		A Y
Mouriri sp.		x
Tococa subciliata (DC.) Triana		X
Meliaceae		
Carapa guianensis Aubl.	х	
Cedrela fissilis Vell.	х	

	Active Restoration	Natural Regeneration
Moraceae		
Brosimum guianense (Aubl.) Huber		x
Brosimum sp.	х	
Ficus sphenophylla Standl.	Х	
Machira sp.		Х
C C Berg		х
Moraceae 1		Х
Perebea mollis (Poepp. & Endl.) Huber		Х
Sorocea sp.		Х
Muntingiaceae		
Muntingia calabura L.	х	
Myristicaceae		
Virola calophylla Warb.		X
Virola cf. surinamensis (Rol. ex Rottb.) Warb.	х	
Virola multinervia Ducke		х
Myrtaceae		
<i>Caliptrantes</i> sp.		x
Eugenia patrisii Vahl		х
<i>Eugenia</i> sp. 01		Х
Eugenia sp.02		Х
Myrcia calycampa Amshoff		X
Myrcia sp. 01	Y	X
Murcia sp. 02	X X	
Myrcia sp. 02 Myrcia sp. 02	X	х
Myrcia sp. 03		x
Myrcia sp. 04		Х
Myrcia subsericea A. Gray		Х
Myrtaceae 1		Х
Myrtaceae 1	Х	
Myrtaceae 2	X	
Myrtaceae 3	X	
Myrtaceae 4	X	
Psidium quajava I	X	v
Psidium sp.	X	x
Syzygium cumini (L.) Skeels	Х	X
Syzygium jambos (L.) Alston	х	
Non identified		Х
N. I. 01		Х
N. I. 02		Х
N. I. 01	х	
N. I. 02	Х	
N. I. 03	X	
IN. I. 04 N. I. 05	X	
N. I. 05 N. I. 06	X	
N I 07	x	
N. I. 08	X	
N. I. 09	X	
N. I. 10	х	
N. I. 11	Х	
N. I. 12	х	
N. I. 13	х	

	Active Restoration	Natural Regeneration
N. I. 14	х	
N. I. 15	Х	
N. I. 16	Х	
Nyctaginaceae		
Neea theifera Oerst.		х
Ochnaceae		
<i>Ouratea</i> sp. <i>Ouratea odora</i> Engl.		x x
Olacaceae		
Minquartia guianensis Aubl.		х
Pentaphylacaceae		
Ternstroemia dentata (Aubl.) Sw.		х
Phyllanthaceae		
Richeria grandis Vah		x
Piperaceae		
Piper aduncum L.	x	
Primulaceae		
<i>Cubianthus</i> sp.		X
Ouiinaceae		
Lacunaria macrosthachua (Tul.) A C Sm		x
Rhizophoraceae		
Stariamanatalum abazatum Kuhlm		Y
Pubiacoao		^
Auvertia sp. Canirona decorticans Spruce		X X
Cordiera concolor (Cham.) Kuntze	х	X
Duroia sp.		х
Duroia longiflora Ducke		х
Ferdinandusa hirsuta Standl.		х
Genipa americana L.	Х	
<i>Isertia hypoleuca</i> Benth.	Х	х
<i>Psychotria</i> sp.		Х
Richeria grandis Vah		Х
Rubiaceae 1		Х
Rubiaceae 1 Warszewiczia coccinea (Vahl) Klotzsch	Х	х
Rutaceae		
Citrus aurantifolia Swingle	x	
Citrus sp.		Х
Dictyoloma vandellianum A. Juss.		x
<i>Nycticalanthus</i> sp.		х
Zanthoxylum rhoifolium Lam.	х	
Salicaceae		
Casearia duckeana Sleumer		х
Casearia grandiflora Cambess.		Х
Casearia javitensis Kunth	Х	х
Casearia negrensis Eichler		Х
Laetia procera (Poepp.) Eichler		Х

	Active Restoration	Natural Regeneration
Ryania speciosa Vahl		х
Sapindaceae		
Cupania hispida Radlk.		х
<i>Cupania rubiginosa</i> (Poir.) Radlk.	х	
Matayba sp.		X
Talisia sp		X
Toulicia guianensis Aubl.		x
Sapotaceae		
Pouteria caimito (Ruiz & Pay.) Radlk.	x	
<i>Pouteria</i> sp.		х
Simaroubaceae		
Homalolepis sp.	х	
Quassia amara L.	х	
Simarouba amara Aubl.	х	Х
Simarouba versicolor A. StHil.	x	
Pouteria sp.	X	
Simaroubaceae		
Siparuna sp.		х
Siparuna guianensis Aubl.		X
Solanaceae		
Solanum lycocarpum A. StHil.	х	
Solanum spp.	х	
Solanum subinerme Jacq.		х
Solanum viarum Dunal	X	
Urticaceae		
Cecropia distachya Huber	х	х
Cecropia membranacea Trécul	х	
Cecropia purpurascens C.C. Berg	х	х
Cecropia sciadophylla Mart.		Х
Cecropiu sp.	X	
Vochysiaceae		
<i>Qualea paraensis</i> Ducke		х
<i>Rouisteranea</i> sp.		x
Ruizterania aloifiora (Warm.) MarcBerti		Х
MarcBerti	х	

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