

A decision-tree-based model for evaluating the thermal comfort of horses

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ABSTRACT: Thermal comfort is of great importance in preserving body temperature homeostasis during thermal stress conditions. Although the thermal comfort of horses has been widely studied, there is no report of its relationship with surface temperature (T_s). This study aimed to assess the potential of data mining techniques as a tool to associate surface temperature with thermal comfort of horses. T_s was obtained using infrared thermography image processing. Physiological and environmental variables were used to define the predicted class, which classified thermal comfort as “comfort” and “discomfort”. The variables of armpit, croup, breast and groin T_s of horses and the predicted classes were then subjected to a machine learning process. All variables in the dataset were considered relevant for the classification problem and the decision-tree model yielded an accuracy rate of 74 %. The feature selection methods used to reduce computational cost and simplify predictive learning decreased model accuracy to 70 %; however, the model became simpler with easily interpretable rules. For both these selection methods and for the classification using all attributes, armpit and breast T_s had a higher power rating for predicting thermal comfort. Data mining techniques show promise in the discovery of new variables associated with the thermal comfort of horses.

Keywords: feature selection methods, data mining, surface temperature, infrared thermography, thermoregulation

Introduction

Horses are homeothermic animals, *i.e.*, they are capable of maintaining their internal body temperature relatively constant regardless of external influence. Homeothermy is achieved by activating thermoregulatory mechanisms. Among these, physiological mechanisms such as sweating and changes in heart rate, respiratory rate, and skin blood flow play especially important roles (Jodkowska et al., 2011; McKeever et al., 2010).

The effect of the thermal environment on thermoregulation of horses has been studied previously by assessing heart and respiratory rate, sweat production, and rectal temperature (Castanheira et al., 2010; Kohn and Hinchcliff, 1995). However, no studies to date have evaluated skin blood flow as a thermoregulatory response. Peripheral blood flow plays an important role in regulating body temperature. The amount of peripheral blood flow produces thermal changes in the temperature at the surface of the body (Tattersall and Cadena, 2010). Therefore, the surface temperature of horses may constitute an indicator of change in thermoregulation (Jodkowska et al., 2011).

Infrared thermography permits the visualization of thermal superficial temperature variation and has been used to examine surface temperature in different regions of horse bodies (Autio et al., 2006; Jodkowska et al., 2011). The need for information and knowledge in this area makes data mining techniques a promising tool. Such techniques involve the use of sophisticated data analysis, including machine learning methods and mathematical algorithms, to discover previously unknown patterns and relationships in datasets (Han et al., 2011).

Among the classification techniques in data mining, decision tree models are popular because they are practical and simple to understand. A decision tree is a decision support tool that uses a tree-like graph or model of decisions, where each node denotes a test of an attribute value, each branch represents an outcome of the test, and the leaves represent the predicted classes. Classification rules can be easily extracted from a decision tree (Tsang, 2011).

The aim of this study was to investigate the potential of data mining techniques as a tool for predicting thermal comfort of horses using surface temperature in different points as the parameter.

Materials and Methods

This study was carried out in an equestrian center located in Campinas, São Paulo, Brazil (22°54' S; 47°03' W; 855 m a.s.l.), from Feb to Apr 2010. Five dark brown Anglo-Arab horses (*Equus caballus*) were studied during eight days. All horses had the same history of housing, management, and acclimation to exercise. Data were collected at the hottest time of the day, between 13h00 and 15h00, in different thermal conditions. Before data collection, horses were in their stalls and all measurements were taken inside the facility.

Air temperature (T_{air}) and relative humidity (RH) were monitored simultaneously during data collection. T_{air} was registered using a hot wire anemometer (-18 to 93 °C; resolution = 0.1 °C) and RH was measured using a Thermo-hygro-decibelimeter-luximeter (THDL) with an RH sensor (ranging from 25 to 95 %; accuracy = ± 5 %).

To assess environmental conditions, a Comfort Index (CI), as previously used by Jones (2009), was calculated as shown in equation 1:

$$CI = T_{\text{air}} (^{\circ}\text{F}) + RH (\%) \quad (1)$$

Heart rate was measured using a stethoscope. Respiration rate was counted by watching the torso for the movement of the ribcage and belly. Rectal temperature was measured with a mercury thermometer introduced into the rectum.

Surface temperature (T_s) was assessed using an infrared thermal imaging camera (accuracy = $\pm 0.1^{\circ}\text{C}$; spectrum range = 7.5 to 13 μm) at four sites of the animals' bodies, with 15 randomly chosen points in each site (Figure 1). The camera was placed about 0.7 m from each horse's armpit and groin and 1.6 m from the croup and breast. The measurements were taken at different distances calculated as indicated in the camera user's manual in order to measure the largest surface area possible. The thermal images were analyzed with Testo IRTSoft® software, applying a cold/hot color scheme and temperature scale between 17 $^{\circ}\text{C}$ and 40 $^{\circ}\text{C}$. The emissivity coefficient (ϵ) was set to 0.95 on all pictures, following Autio et al. (2006).

The armpit and groin regions were chosen because they are highly vascularized (McCutcheon and Geor, 2008) and few studies have reported on the effective

participation of such body parts in the thermoregulation process. The croup is a region that is highly exposed to environmental conditions and it was used as a reference in the study of Kohn et al. (1999). The breast region presents high thermal variability and can be estimated as representative of the average body surface area (Marlin et al., 1998).

For data analysis (Data Mining), a learning algorithm for inducing decision trees was used to determine the relationship between T_s and the thermal comfort of horses. The data mining (DM) project was performed in accordance with the six phases of the Cross-Industry Standard Process for Data Mining (CRISP-DM) methodology, as described by Chapman et al. (2000) (Figure 2A).

The first phase included establishing the objectives and then converting them into a DM problem (Figure 2B). According to the aim of this project, CI and physiological parameters were used to determine the predicted class (thermal comfort). The next phase, called data understanding, involved a literature review and initial data collection. Subsequently, the data preparation phase took place with the tabulation of data. T_{air} and RH data were converted into CI (Equation 1). To classify the predicted class, physiological parameters and CI received a binary designation (0 - inadequate and 1 - adequate) according to a range recommended in the literature (Table 1). Summing these adjustments yielded positive integer

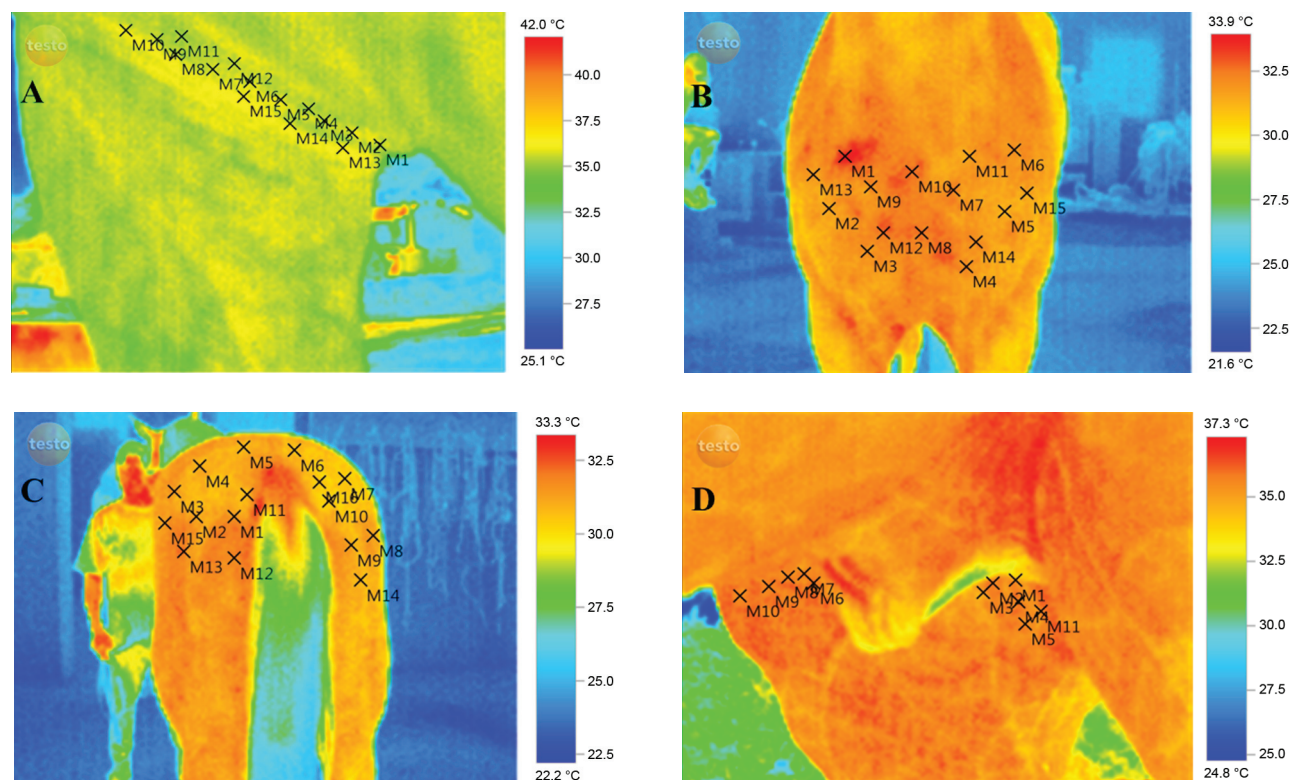


Figure 1 – Views of body parts on a horse thermal image and the T_s points registered: A) armpit; B) breast; C) croup; D) groin.

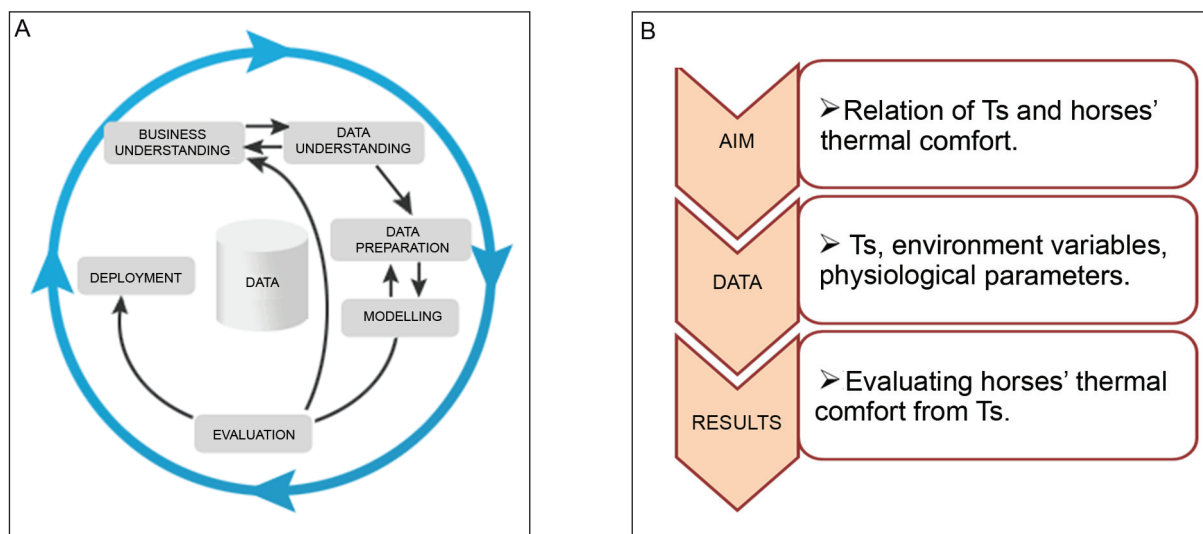


Figure 2 – A) Data mining phases according to CRISP-DM (Chapman et al., 2000); B) Understanding the aim of the study: converting this knowledge into a DM problem.

Table 1 – Ideal ranges of variables used in the class attribute classification.

Variables	Ideal Range
Comfort Index [dimensionless] ¹	CI ≤ 130
Heart rate (beats min ⁻¹) ²	32 ≤ HR ≤ 44
Respiratory rate (movements min ⁻¹) ²	8 ≤ RR ≤ 16
Rectal temperature (°C) ²	37.2 ≤ RT ≤ 38.2

According to ¹Jones (2009); ²Cunningham (2002).

numbers from 0 to 4. From these summations, we labeled thermal comfort as "comfort" if the sum was 3 or 4, and "discomfort" if the sum was 0, 1 or 2.

After defining the predictive class (Thermal Comfort), physiological parameters and CI were no longer used for further evaluations and thus excluded from the final database. Hence, five attributes composed the final database: T_s of four body parts (armpit, croup, breast and groin) as numerical variables and the predicted class, classified as "comfort" and "discomfort".

The decision tree was built using Weka*3.6.2. software (Witten et al., 2011), notably the algorithm J48, referred to as C4.5 (Quinlan, 1993). The Weka default setting for parameters were used, except for the level of pre-pruning (minNumObj) that was set from 5 to 60. For testing the generated model, a 10-fold cross validation approach was used, i.e., the initial data were randomly partitioned into ten mutually exclusive subsets or folds, each of approximately equal size. Training and testing were performed ten times. The estimated accuracy of the model was the average of correct classifications from the ten iterations. In general, stratified 10-fold cross-validation is recommended for estimating accuracy due to its relatively low bias and variance (Han et al., 2011).

The knowledge acquired from the decision tree can be represented by IF-THEN Rules for Classification. Each classification rule is a path from the root node to a leaf (a predicted class). Thus, it is possible to visualize these paths over the branches. Rules are the basis for predictive modeling. All generated decision trees were compared for accuracy rate, complexity based on the number of rules generated, and ability to understand these rules according to expert opinion. The experts were veterinarians who work in the equestrian center, researchers, and professors in animal thermal comfort. They possessed the knowledge and expertise required to make recommendations regarding selected thermal comfort rules for horses.

There was a class imbalance problem in the dataset: 405 out of 600 instances were classified as "discomfort". Three balancing methods were used, including over-sampling (which randomly replicates samples from the minority class) and under-sampling (which randomly eliminates samples from the majority class) (Batista et al., 2004).

The process of balancing classes changes the class distribution of training data, allowing the model to learn with minority class examples. This approach provides more accurate results (Batista et al., 2004; Laurikkala, 2001). A stratified sample consisting of 10 % of the data was set apart for testing the built model, while 90 % of the remaining data were subjected to some methods that aim to balance class distribution, including sampling methods (Resampling), the Neighborhood Cleaning Rule (NCL), and the Synthetic Minority Over-sampling Technique (SMOTE), summarized in Table 2.

For the Resampling method, three bias values were tested, which impact the distribution of data. The

values used were 0 (data distribution is maintained); 1 (the classes of the training set are sampled according to the uniform distribution); and 0.5 (classes are balanced intermediately), as used and described by Crivelenti et al. (2009).

After applying the balancing methods to the data, feature selection methods were used to reduce the computational cost and simplify the model. These methods also identify the relevance of variables and their contribution to the model. The CFS, *Infogain*, *Gainration*, Chi-square, and Wrapper methods (Table 3) were compared. The analyses of data balancing and feature selection were performed using the *Weka* environment.

Results and Discussion

Model accuracy decreased gradually, almost 1 % for every additional five objects per leaf (Figure 3). Likewise, the number of generated rules decreased as objects per leaf increased. The higher the level of pre-pruning, the less specific the coverage of the classification rules. Similar results were found by Sikora (2011). While Crivelenti et al. (2009) found that model accuracy did not differ for pre-pruning levels used in their study because

they used a very large dataset, they did observe a reduction in the number of rules.

Pruning is an adjustment to avoid data over-fitting and to minimize noise or details of a training data set (Wang et al., 2010). Thus, the learning model is more comprehensive by reducing the generated tree. Pre-pruning of ten objects per leaf presented the highest accuracy (77 %) and generated 13 rules. However, some rules are redundant, which complicates their interpretation. The number of rules dropped 42 % when comparing pre-pruning of 20 and 25 objects per leaf, and accuracy remained at 76 %. However, neither trees are practical because of the high number of rules: 12 and 7, respectively. These trees do assist, nevertheless, in the understanding and analysis of the data partitioning.

Sometimes it is more advantageous to choose a model with a smaller number of rules, even with a loss of accuracy, when the generated rules match the opinion of experts in animal thermal comfort. Thus, the best results were obtained for the level of pre-pruning of 35 objects per leaf, according to expert opinion. The accuracy rate of that model was 74 % and six relevant rules were generated (Figure 4).

The problem of imbalanced data deserves particular attention, since it can compromise the accuracy of the classifier due to a possible introduction of bias into the model. As expected, the balancing methods altered the class distribution in the training set (Table 4). For example, NCL, an under-sampling method, caused a decrease of 42 % in the number of instances of the majority class (discomfort). In contrast, SMOTE, an over-sampling method, increased the minority class examples (comfort) in 44 %.

The balancing class methods did not improve model accuracy and the built model (after sampling method for bias 0.5 and 1) presented the best results for accuracy. In addition, this accuracy value was closer to that of the model generated with unbalanced data, although the decision tree was more complex due to a larger number of rules. The precision for the "discomfort" class was greater than that for the "comfort" class. Corroborating results can be found in Crivelenti et al. (2009) and Witten et al. (2011). These authors reported cases in which balancing methods did not affect model accuracy. In our case, results may be attributed to the small size of the

Table 2 – Description of balancing methods.

Method	Type	Description	Reference
Sampling	Weka Filter	Balances the data set by means of a sampling replacement.	Witten and Frank, 2005
NCL	Under-sampling	Removes examples from the majority class emphasizing data cleanliness using heuristics based on K-nearest neighbor algorithm.	Laurikkala, 2001
SMOTE	Over-sampling	Inserts elements in the minority class by creating synthetic examples from an interpolation between the nearest neighbors of the minority class.	Chawla et al., 2002

Table 3 – Description of feature selection algorithms.

METHOD	DESCRIPTION	REFERENCE
CFS - Correlation-based feature selection	The subset of attributes is selected by the strongest correlation with the variable class and low correlation with other attributes of the set.	Lutu and Engelbrecht, 2010
INFOGAIN - Information Gain Feature Ranking	Evaluates the importance of the attribute through gain information regarding the class attribute.	Huang et al., 2008
GAINRATION	Ranks attributes through the information gain of each attribute with respect to the attribute class.	Lin and Chen, 2012
CHI-SQUARE	The importance of each attribute in relation to the class (predicted class) is measured by the chi-square statistical test.	Huang et al., 2008
WRAPPER	The selection of a subset of an attribute is performed by induction algorithm and cross-validation.	Huang et al., 2008

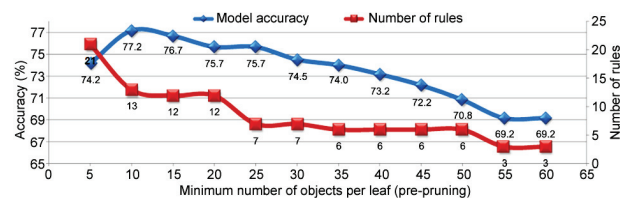


Figure 3 – Accuracy and number of rules for different levels of pre-pruning. Number of objects per leaf corresponds to the minimum number of examples of the test set used to evaluate the generated rules - tree leaves.

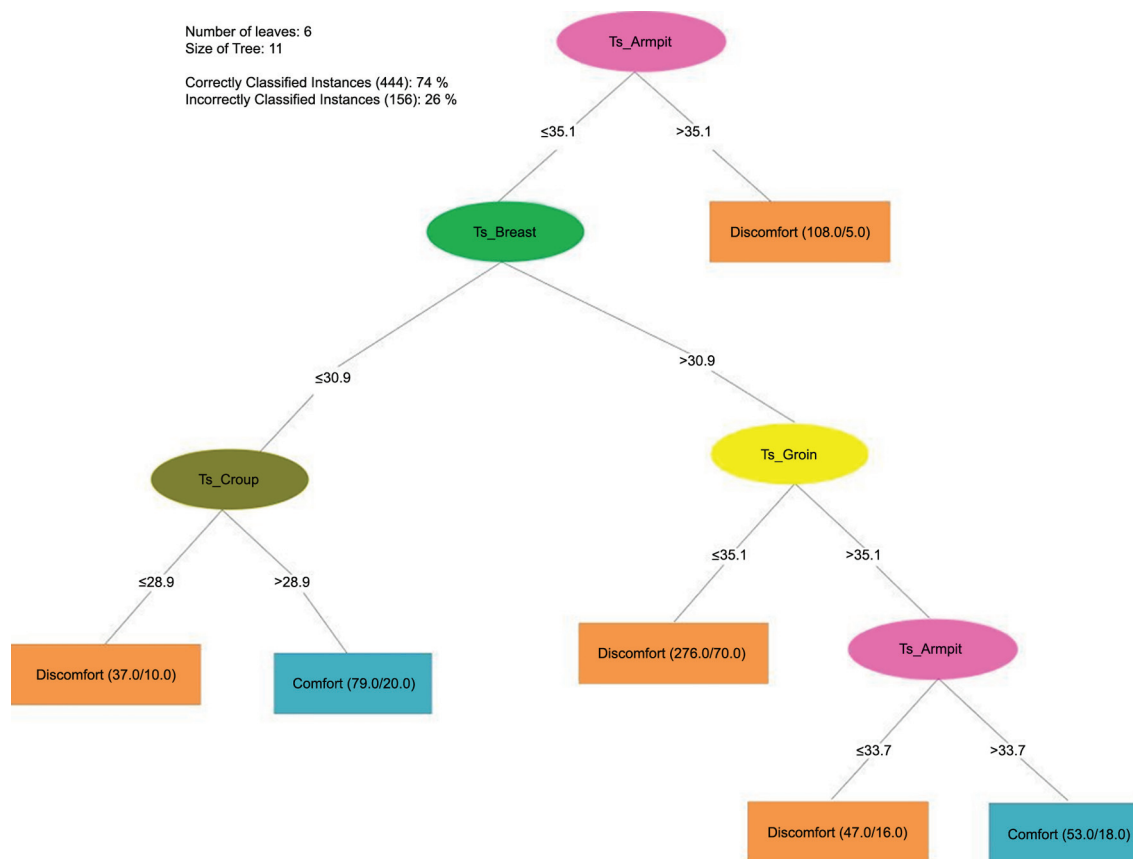


Figure 4 – Classification tree for thermal comfort of horses. The expression (x/y) in the leaf of a tree means that x instances reached that leaf, of which y are classified incorrectly. (level of pre-pruning = 35 objects per leaf).

Table 4 – Number of instances, classifier accuracy, and number of rules for decision trees built with different balancing methods.

Balancing Method	Number of instances			Accuracy (%)			Number of Rules
	Total	Class		Model	Class		
		D	C		D	C	
Raw data	600	405	195	74.0	75.9	66.4	6
Sampling (Bias)	0		353 187	65.0	72.7	43.8	7
	0.5	540	308 232	73.3	79.1	58.8	9
	1		256 284	73.3	80.5	57.9	10
NCL	412	236	176	70.0	75.6	53.3	6
SMOTE	716	364	352	71.6	83.3	54.2	9

Level of pre-pruning = 35 objects per leaf.

database used to build the model, which has only 600 instances (observations).

The problem of class imbalance is relative and depends on the complexity and size of the database (Japkowicz, 2003). In small unbalanced data sets, the minority class is represented by an exceedingly small number of examples, being less representative in the sample, which may not be sufficient for the learning process (Batista et al., 2004).

Depending on the structure and size of the dataset, splitting criteria of nodes in decision trees are insensitive to the class distribution (Witten et al., 2011). In these cases, artificially balancing class distribution does not have much effect on the performance of the induced classifiers.

Although the number of variables analyzed in this study was small, some feature selection methods were evaluated, since they are able to improve the performance of models by eliminating inconsistent and redundant variables (Vale et al., 2008). Except for Wrapper, all feature selection methods used identified breast and armpit T_s as relevant variables (Table 5). Thus, classifier performance was the same for all methods in terms of accuracy (70 %) and number of rules (3) (Figure 5).

Wrapper selected all the attributes of the original dataset as relevant and yielded the highest classification accuracy (74 %), but in a tree composed of more rules (6). This result was expected, since all parts of the body chosen in this study are anatomically vascularized and have efficient vasomotor mechanisms (Hogdson et al., 1994). Together they contribute effectively to thermal regulation of the animal, and, therefore, tend to have greater predictive power when combined.

Table 5 – Results of the evaluation of different feature selection methods.

Method of Selection	Selected attributes (in order of merit)	Model accuracy (pre-pruning = 35)	Number of rules
CFS	breast and armpit	70.1	3
Gain ratio	armpit, breast	70.1	3
Infogain	breast, armpit	70.1	3
Chi-square	armpit, breast	70.1	3
Wrapper	all	74.0	6

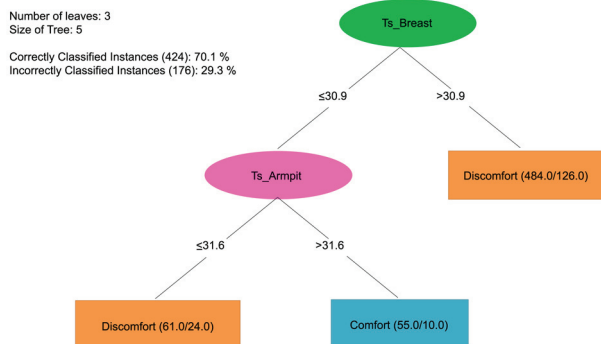


Figure 5 – Binary classification tree for thermal comfort of horses using feature selection. The expression (x/y) in the leaf of a tree means that x instances reached that leaf, of which y are classified incorrectly. (Pre-pruning level = 35).

In the representation of decision trees built with raw data (Figure 4) and with attribute selection (Figure 5), all feature selection methods ranked armpit and breast T_s as most relevant, and in the classification using all attributes, both had the highest power rating. These results are consistent with those published in the literature (Marlin et al., 1998; McCutcheon and Geor, 2008).

The armpit is a highly vascularized area and has an enormous capacity for increasing blood flow (vasodilation) to meet the thermal needs of the animal (McConaghy et al., 1996). In addition, the breast participates in heat exchange, since it is highly correlated with changes in heart rate and breathing. In agreement with this study, Autio et al. (2006) studied differences in heat loss between different breeds of horses at low temperatures and observed body heat dissipation by the groin and armpit during thermal stress. These findings confirm the participation of these regions in thermoregulation. By contrast, Jodkowska et al. (2011) determined maximum surface temperatures in different regions of the horse body at rest and after competition at an ambient temperature of 14 °C, and observed a moderate impact of physical effort on the increase in temperature in the breast region. The greatest increase in surface temperature following stressful conditions was found in the croup region (3.3 °C). The authors considered that this region played the greatest role in releasing heat from the horse body generated by effort during extreme exercise.

Table 6 – Confusion matrix for the decision tree shown in Figure 4, built with original data, representing the instances that were correctly classified as true positive (TP) and true negative (TN).

Class Predictions	Discomfort	Comfort	Model Accuracy
Discomfort	365 (TP)	40	74 %
Comfort	116	79 (TN)	
Class Precision	75.9 %	66.4 %	

Table 7 – Confusion matrix for the decision tree shown in Figure 5, built after feature selection, representing the instances that were correctly classified as true positive (TP) and true negative (TN).

Class Predictions	Discomfort	Comfort	Model Accuracy
Discomfort	372 (TP)	33	70.1 %
Comfort	143	52 (TN)	
Class Precision	72.2 %	61.2 %	

The divergence between our findings and those obtained by Jodkowska et al. (2011) may be explained by the fact that in our study data were collected only from horses at rest and not after competition. From these data, a model generated with raw data also considered the croup region to play an important role in thermoregulation, but not the most important, while the model generated with feature selection methods considered breast and armpit T_s as the most important variables for thermoregulation during rest.

The accuracy of both trees (Figures 4 and 5) is good and these trees are interesting to experts because their rules are simple and small, which could facilitate their practical use. The class precisions were very similar (Table 6 and 7), which suggests that it may be advantageous to choose the model with fewer rules, even if it has lower accuracy. In this context, the tree generated with feature selection has rules that are more compact and more representative for experts.

Conclusion

The decision tree for classifying the thermal comfort of horses from T_s yielded an accuracy rate of 74 % and contained six relevant rules. The feature selection methods highlighted armpit and breast T_s , resulting in a tree with low precision, but with rules that were more compact and relevant according to expert opinion. The best classification results were thus obtained from a model with fewer rules in detriment of accuracy. The decision tree classifier proved to be a promising tool for generating new knowledge and identifying new variables related to the thermal comfort of horses, such as T_s .

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